

Caldart Gilberto
Form 4
April 24, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Caldart Gilberto

(Last) (First) (Middle)
2000 PURCHASE STREET
(Street)

PURCHASE, NY 10577

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Mastercard Inc [MA]

3. Date of Earliest Transaction
(Month/Day/Year)
04/22/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

President, International

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Class A Common Stock	04/22/2019		M		22,280 (1)	A	\$ 51.83 22,280 D
Class A Common Stock	04/22/2019		M		24,492 (1)	A	\$ 77.72 46,772 D
Class A Common Stock	04/22/2019		S		15,027 (1)	D	\$ 239.5036 (2) 31,745 D
Class A Common	04/22/2019		S		7,139 (1)	D	\$ 24,606 240.6731 D

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Stock								<u>(3)</u>	
Class A Common Stock	04/22/2019	S	12,524 <u>(1)</u>	D	\$ 241.7032	12,082	D	<u>(4)</u>	
Class A Common Stock	04/22/2019	S	12,082 <u>(1)</u>	D	\$ 242.5648	0	D	<u>(5)</u>	
Class A Common Stock						54,204.8	I		See footnote below <u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 51.83	04/22/2019		M	22,280 <u>(1)</u>	<u>(7)</u> 03/01/2023	Class A Common Stock 22,280
Employee Stock Option (right to buy)	\$ 77.72	04/22/2019		M	24,492 <u>(1)</u>	<u>(8)</u> 03/01/2024	Class A Common Stock 24,492

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

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Caldart Gilberto
2000 PURCHASE STREET
PURCHASE, NY 10577

President, International

Signatures

Craig Brown, as attorney-in-fact for Gilberto Caldart pursuant to a power of attorney dated
May 11, 2018

04/24/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-planned trading plan entered into in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934. The pre-planned trading plan was adopted by the reporting person on March 5, 2019.

This transaction was executed in multiple trades at prices ranging from \$239.07 to \$240.05. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$240.11 to \$241.08. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction was executed in multiple trades at prices ranging from \$241.11 to \$242.10. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) This transaction was executed in multiple trades at prices ranging from \$242.11 to \$243.04. The price reported reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) The shares are held by a family-owned company, controlled by the reporting person.
- (6) The reporting person was awarded employee stock options on March 1, 2013, which vested in four (4) equal installments beginning on March 1, 2014.
- (7) The reporting person was awarded employee stock options on March 1, 2014, which vested in four(4) equal installments beginning on March 1, 2015.
- (8)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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