

OGARA WILFRED T
Form 4
January 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
OGARA WILFRED T

2. Issuer Name and Ticker or Trading Symbol
LSI INDUSTRIES INC [LYTS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

8180 CORPORATE PARK
DRIVE, SUITE 301

3. Date of Earliest Transaction
(Month/Day/Year)
01/02/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CINCINNATI, OH 45242

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Shares	01/02/2018		A		1,909	A	\$ 6.81
					38,091		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy <u>(2)</u>	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500
Option to Buy <u>(2)</u>	\$ 4.6					<u>(1)</u>	11/20/2018	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 8.4					<u>(1)</u>	08/21/2019	Common Shares	3,500
Option to Buy <u>(2)</u>	\$ 7.2					<u>(1)</u>	11/19/2019	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 5.21					<u>(1)</u>	08/19/2020	Common Shares	2,500
Option to Buy <u>(2)</u>	\$ 8.92					<u>(1)</u>	11/18/2020	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 6.68					<u>(1)</u>	11/17/2021	Common Shares	1,500
Option to Buy <u>(2)</u>	\$ 6.58					<u>(1)</u>	08/15/2022	Common Shares	2,500
Option to Buy <u>(2)</u>	\$ 6.28					<u>(1)</u>	11/15/2022	Common Shares	1,500

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

OGARA WILFRED T
8180 CORPORATE PARK DRIVE
SUITE 301
CINCINNATI, OH 45242

X

Signatures

/s/ F. Mark Reuter, Attorney-in-Fact for Wilfred T.

O'Gara

01/04/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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