TORTOISE PIPELINE & ENERGY FUND, INC.

Â

L

(1)

03/01/2016

Shares

Form 5

January 13, 2017

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer MALVEY KENNETH P Symbol TORTOISE PIPELINE & ENERGY (Check all applicable) FUND, INC. [TTP] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Officer (give title _X__ Other (specify (Month/Day/Year) below) below) 11/30/2016 Member of Investment Committee 11550 ASH STREET, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) LEAWOOD. KSÂ 66211 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Ownership Indirect Security Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership of Issuer's or Indirect (Instr. 4) Fiscal Year (I) (A) (Instr. 3 and (Instr. 4) or 4) (D) Amount Price Common 32.329 \$ Â Â 12/01/2015 L A 1,388.227 D (1) 17.47 Shares Common 16.385 12/01/2015 Â L 703.596 I By spouse 17 47 Shares (1) Common 41.41 Â Â 03/01/2016 L A 1,388.227 D (1) 12.67 Shares 20.988 Common

By spouse

I

703.596

12.67

Edgar Filing: TORTOISE PIPELINE & ENERGY FUND, INC. - Form 5

| Common Shares | 06/01/2016 | Â | L | 31.173 (1) | A | \$ 17.37 | 1,388.227 | D | Â |
|------------------|------------|---|---|---------------|---|-------------|-----------|---|--------------------------------------------------|
| Common Shares | 06/01/2016 | Â | L | 15.799 (1) | A | \$ 17.37 | 703.596 | I | By spouse |
| Common Shares | 09/01/2016 | Â | L | 28.155 (1) | A | \$ 19.68 | 1,388.227 | D | Â |
| Common Shares | 09/01/2016 | Â | L | 14.27 (1) | A | \$ 19.68 | 703.596 | I | By spouse |
| Common Shares | Â | Â | Â | Â | Â | Â | 0 (2) | I | By self as custodian of child's account |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

of

S

Is Fi

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| | 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of |
|--|-------------|-------------|---------------------|--------------------|-------------|---------------------|--------------------|--------------|---------|----------|-------------|
| | Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | Number | Expiration D | ate | Amou | nt of | Derivative |
| | Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security |
| | (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | | | Secur | ities | (Instr. 5) |
| | | Derivative | | | | Securities | | | (Instr. | 3 and 4) | |
| | | Security | | | | Acquired | | | | | |
| | | • | | | | (A) or | | | | | |
| | | | | | | Disposed | | | | | |
| | | | | | | of (D) | | | | | |
| | | | | | | (Instr. 3, | | | | | |
| | | | | | | 4, and 5) | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | Amount | |
| | | | | | | Date Exercisable | Expiration Date | Title Number | or | | |
| | | | | | | | | | Number | | |
| | | | | | | | | | | of | |
| | | | | | | (A) (D) | | | | Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|------------------------------------------------------------------------|---------------|-----------|---------|--------------------------------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| MALVEY KENNETH P 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211 | Â | Â | Â | Member of Investment Committee | | |

Reporting Owners 2

Signatures

Kenneth P. 01/12/2017 Malvey

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Pipeline & Energy Fund, Inc. dividend reinvestment plan price. This transaction is being reported on Form 5 pursuant to Rule 16a-6.
- (2) Excludes 205.736 shares held by adult child no longer living at home and in which the reporting person no longer has a reportable beneficial interest but which have been included in the reporting person's prior ownership information.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3