

MARCUS CORP
Form 4
December 09, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HAASE BRONSON J

(Last) (First) (Middle)
6948 N. WILDWOOD POINT RD.
(Street)

HARTLAND, WI 53029

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)
12/07/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----------------------|---|-------------------------|
| | | | | (A) or (D) | Price | | | | | |
| Common Stock | 12/07/2016 | | M | V | 500 | A | \$ 23.37 | 19,624 ⁽¹⁾ | D | |
| Common Stock | 12/07/2016 | | F | | 384 | D | \$ 30.5 | 19,240 | D | |
| Common Stock | | | | | | | | 6,204 | I | By Trust ⁽²⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P Der Sec (Ins | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 23.37 | 12/07/2016 | | M | 500 | 05/31/2007 05/31/2017 | Common Stock | 500 | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 17.17 | | | | | 05/29/2008 05/29/2018 | Common Stock | 500 | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 10.78 | | | | | 05/28/2009 05/28/2019 | Common Stock | 500 | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 11.14 | | | | | 05/27/2010 05/27/2020 | Common Stock | 500 | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 10.5 | | | | | 05/26/2011 05/26/2021 | Common Stock | 500 | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 13.33 | | | | | 05/31/2012 05/31/2022 | Common Stock | 500 | |
| Stock Option (Right to Buy) ⁽³⁾ | \$ 13.45 | | | | | 05/30/2013 05/30/2023 | Common Stock | 1,000 | |
| Stock Option (Right to | \$ 16.84 | | | | | 05/29/2014 05/29/2024 | Common Stock | 1,000 | |

Buy) ⁽³⁾

| | | | | | |
|---|----------|------------|------------|-----------------|-------|
| Stock Option (Right to Buy) ⁽³⁾ | \$ 19.65 | 05/28/2015 | 05/28/2025 | Common Stock | 1,000 |
|---|----------|------------|------------|-----------------|-------|

| | | | | | |
|---|----------|------------|------------|-----------------|-----|
| Stock Option (Right to Buy) ⁽³⁾ | \$ 18.97 | 12/31/2015 | 12/31/2025 | Common Stock | 583 |
|---|----------|------------|------------|-----------------|-----|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| HAASE BRONSON J 6948 N. WILDWOOD POINT RD. HARTLAND, WI 53029 | | X | | |

Signatures

| | |
|---|------------|
| /s/ Steven R. Barth, Attorney-in-Fact for Bronson J. Haase | 12/09/2016 |
|---|------------|

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 138 shares of Common Stock acquired through automatic reinvestment of dividends under the Marcus Corporation Dividend Reinvestment and Associate Stock Purchase Plan as of December 7, 2016.
- (2) By the Bronson J. and Jacqueline A. Haase Living Trust dated 8/17/1999.
- (3) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.