

REPLIGEN CORP
Form 4
June 09, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Benjamin Howard

(Last) (First) (Middle)

C/O REPLIGEN CORPORATION, 41 SEYON ST, BLDG 1, STE 100

(Street)

WALTHAM, MA 02453

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
REPLIGEN CORP [RGEN]

3. Date of Earliest Transaction (Month/Day/Year)
06/05/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
VP Business Development

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price (A) or (D) | | |
| Common Stock | 06/05/2014 | | M | | 87,036 A \$ 4.25 | | D |
| Common Stock | 06/05/2014 | | F | | 18,222 (1) D \$ 20.3 | | D |
| Common Stock | 06/05/2014 | | M | | 5,000 A \$ 3.33 | | D |
| Common Stock | 06/05/2014 | | F | | 820 (2) D \$ 20.3 | | D |
| Common Stock | 06/05/2014 | | M | | 10,000 A \$ 6.23 | | D |

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Common Stock 06/05/2014 F 3,069⁽³⁾ D \$ 20.3 108,851 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option (Right to Buy) | \$ 4.25 ⁽¹⁾ | 06/05/2014 | | M | 87,036 ⁽¹⁾ | 02/11/2010 02/11/2019 | Common Stock | 87,036 |
| Stock Option (Right to Buy) | \$ 3.33 ⁽²⁾ | 06/05/2014 | | M | 5,000 ⁽²⁾ | 07/15/2011 07/15/2020 | Common Stock | 5,000 |
| Stock Option (Right to Buy) | \$ 6.23 ⁽³⁾ | 06/05/2014 | | M | 10,000 ⁽³⁾ | 02/28/2014 02/28/2023 | Common Stock | 10,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Benjamin Howard C/O REPLIGEN CORPORATION 41 SEYON ST, BLDG 1, STE 100 WALTHAM, MA 02453 | | | VP Business Development | |

Signatures

/s/ Jeffrey P. Leduc (Attorney in
Fact)

06/09/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Payment made via forfeiture of 18,222 shares of Common Stock valued at the Closing Price of \$20.30 on June 5, 2014.
- (2) Payment made via forfeiture of 820 shares of Common Stock valued at the Closing Price of \$20.30 on June 5, 2014.
- (3) Payment made via forfeiture of 3,069 shares of Common Stock valued at the Closing Price of \$20.30 on June 5, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.