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LIQUIDMETAL TECHNOLOGIES INC

Form 3 June 06, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

SEVCIK RICHARD W

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

05/28/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LIQUIDMETAL TECHNOLOGIES INC [LQMT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O LIQUIDMETAL TECHNOLOGIES, INC.. 30452 ESPERANZA

(Street)

(Check all applicable) 10% Owner _X_ Director

Officer _Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

RANCHO SANTA MARGARITA, CAÂ 92688

(City)

(State)

(Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of Price of Derivative Security: Derivative Security Direct (D)

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Shares or Indirect
(I)
(Instr. 5)

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Options to Purchase $\hat{A} \stackrel{(1)}{=} \hat{A} \stackrel{(1)}{=} \frac{Common}{Stock} 270,000 \$ 0.08$ D \hat{A}

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

SEVCIK RICHARD W
C/O LIQUIDMETAL TECHNOLOGIES, INC.
30452 ESPERANZA
RANCHO SANTA MARGARITA, CAÂ 92688

Signatures

/s/ Richard W. 06/06/2013 Sevcik

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The options vest on the following schedule: twenty percent (20%) will vest on May 28, 2014, with the remaining options vesting over the (1) next consecutive four years, in a series of forty-eight (48) equal monthly installments upon completion of each month from the grant date, until May 28, 2018, at which time the options will have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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