Redfern Dominic Form 4 November 14, 2012

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

may continue. See Instruction 1(b).

obligations

\$0.01 per

share

(Print or Type Responses)

1. Name and Address of Reporting Person \* Redfern Dominic

(Last) (First) (Middle)

C/O ALTIMA PARTNERS LLP, 11 SLINGSBY PLACE, ST MARTINS **COURTYARD** 

(Street)

Filed(Month/Day/Year)

Symbol

4. If Amendment, Date Original

CADIZ INC [CDZI]

(Month/Day/Year)

11/12/2012

3. Date of Earliest Transaction

LONDON, UNITED KINGDOM, X0 WC2E 9AB **OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to	
Issuer	

(Check all applicable)

Director X\_\_ 10% Owner Officer (give title \_ Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative	Secur	ities Ac	quired, Disposed	l of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4)	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, Par Value \$0.01 per share	11/12/2012		S	360,000	D	\$ 8.05	386,651	I (1)	By Altima Global Special Opportunities Fund
Common Stock, Par Value							600,000	I (1)	By Altima Global Special

Situations Master Fund

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Common Stock, Par Value \$0.01 per share

 $I^{(1)}$ 201,467

By Altima Services UK

Limited

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.
Derivative	Conversion
Security	or Exercise
(Instr. 3)	Price of
	Derivative
	Security

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

TransactionNumber Code of (Instr. 8) Derivative Securities Acquired

(A) or

of (D)

Code V (A) (D)

Disposed

(Instr. 3, 4, and 5)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Security Securities (Instr. 5)

(Instr. 3 and 4)

Shares

8. Price of Derivative

Own Follo Repo Trans (Insti

Secu

Bene

Amount or Expiration Title Number Date Exercisable Date of

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

10% Owner Officer

Redfern Dominic C/O ALTIMA PARTNERS LLP 11 SLINGSBY PLACE, ST MARTINS COURTYARD LONDON, UNITED KINGDOM, X0 WC2E 9AB

X

**Signatures** 

/s/ Dominic 11/14/2012 Redfern

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and the (1) inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.