

SEELBACH SCOTT W
Form 4
January 02, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SEELBACH SCOTT W

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/28/2018

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/28/2018		P	1 ⁽¹⁾ A	\$ 61.63 ₍₂₎ 91	I	Spouse's proportionate interest in shares held by Rankin Associates V ₍₃₎
Class A Common Stock	12/28/2018		P	2 ⁽¹⁾ A	\$ 61.09 ₍₄₎ 446	I	Spouse's proportionate interest in shares held by Rankin

Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.09 <u>(4)</u>	530	I	Associates VI <u>(3)</u> Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.09 <u>(4)</u>	530	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.09 <u>(4)</u>	530	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.09 <u>(4)</u>	530	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	449	I	Spouse's proportionate interest in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	12/28/2018	P	3 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	533	I	Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	2 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	532	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	2 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	532	I	Child's proportionate interest in shares held by

Class A Common Stock	12/28/2018	P	2 <u>(1)</u>	A	\$ 61.63 <u>(2)</u>	532	I	Rankin Associates VI Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ 62.35 <u>(5)</u>	92	I	Spouse's proportionate interest in shares held by Rankin Associates V <u>(3)</u>
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	453	I	Spouse's proportionate interest in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	537	I	Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	536	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	536	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(6)</u>	536	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common	12/31/2018	P	1 <u>(1)</u>	A	\$ 62.35	454	I	Spouse's proportionate

Edgar Filing: SEELBACH SCOTT W - Form 4

Stock					<u>(5)</u>			interest in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ <u>62.35</u> <u>(5)</u>	538	I	Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ <u>62.35</u> <u>(5)</u>	538	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ <u>62.35</u> <u>(5)</u>	538	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ <u>62.35</u> <u>(5)</u>	538	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						10,497	I	Spouse's proportionate interests in shares held by Rankin Associates II. <u>(3)</u>
Class A Common Stock						7,326	I	Held in trust fbo Reporting Person's spouse. <u>(3)</u>
Class A Common Stock						563	I	Reporting Person's spouse is co-trustee of a Trust fbo minor child. <u>(3)</u>
						722	D	

Class A Common Stock								
Class A Common Stock				1,321	I		Reporting Person's proportionate interests in shares held by Rankin Associates II.	
Class A Common Stock				475	I		Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(3)</u>	
Class A Common Stock				722	I		Reporting Person's spouse is co-trustee of a Trust fbo minor child. <u>(3)</u>	
Class A Common Stock				853	I		Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(3)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
---	--	---	---	--------------------------------------	---	--	---	---

Edgar Filing: SEELBACH SCOTT W - Form 4

(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	10,497
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	10,756
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	634
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	563
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	722
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	1,321
Class B Common Stock			<u>(7)</u>		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	475

Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	722
----------------------------	-----	-----	-----	----------------------------	-----

Class B Common Stock	(7)	(7)	(7)	Class A Common Stock	853
----------------------------	-----	-----	-----	----------------------------	-----

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SEELBACH SCOTT W 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

01/02/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-28 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.09.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2018-Dec-28 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.49.
- (5) 2018-Dec-31 -Block 2 Weighted Average- Share Price represents average price between \$62.25 and \$62.45.
- (6) 2018-Dec-31 -Block 1 Weighted Average- Share Price represents average price between \$61.20 and \$62.19.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Edgar Filing: SEELBACH SCOTT W - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.