

FOSTER JAMES C
Form 4
December 12, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FOSTER JAMES C

2. Issuer Name and Ticker or Trading Symbol
CHARLES RIVER LABORATORIES INTERNATIONAL INC [CRL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

(Last) (First) (Middle)
251 BALLARDVALE STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/23/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

WILMINGTON, MA 01887

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|-------------|---|--|---|
| | | | | (A) | (D) | Price | | | |
| Common Stock | 07/23/2018 | | G | V | 4,000 | D \$ 0 | 267,676 | D | |
| Common Stock | 08/17/2018 | | G | V | 3,677 | D \$ 0 | 0 ⁽¹⁾ | I | 2016 GRAT |
| Common Stock | 12/10/2018 | | M | | 25,123 | A \$ 73.7 | 310,894 ⁽²⁾ | D | |
| Common Stock | 12/10/2018 | | S ⁽³⁾ | | 200 | D \$ 125.76 | 310,694 | D | |
| Common Stock | 12/10/2018 | | S ⁽³⁾ | | 200 | D \$ 125.85 | 310,494 | D | |

Edgar Filing: FOSTER JAMES C - Form 4

| | | | | | | | |
|--------------|------------|------------------------|-----|---|------------|---------|---|
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 125.855 | 310,394 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 125.89 | 310,294 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 1 | D | \$ 125.965 | 310,293 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 99 | D | \$ 125.975 | 310,194 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 125.98 | 310,094 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 200 | D | \$ 126.01 | 309,894 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.02 | 309,794 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.025 | 309,694 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.03 | 309,594 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 200 | D | \$ 126.05 | 309,394 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.07 | 309,294 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.075 | 309,194 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.08 | 309,094 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 491 | D | \$ 126.11 | 308,603 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 300 | D | \$ 126.13 | 308,303 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 304 | D | \$ 126.15 | 307,999 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 201 | D | \$ 126.16 | 307,798 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 100 | D | \$ 126.18 | 307,698 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 4 | D | \$ 126.195 | 307,694 | D |
| Common Stock | 12/10/2018 | <u>S⁽³⁾</u> | 201 | D | \$ 126.2 | 307,493 | D |

340

I

By Trust

Edgar Filing: FOSTER JAMES C - Form 4

Common
Stock

Common Stock 10,000 I Held By Spouse

Common Stock 18,390 I 2017 GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |
| Stock Options (Right to Buy) | \$ 73.7 | 12/10/2018 | | M | 25,123 | 02/26/2017 | 02/26/2021 | Common Stock | 25,123 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-----------------------------|
| | Director | 10% Owner | Officer | Other |
| FOSTER JAMES C 251 BALLARDVALE STREET WILMINGTON, MA 01887 | X | | | Chairman, President and CEO |

Signatures

/s/ James C. Foster 12/11/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On 8/17/2018: 6,531 shares were transferred from the 2016 GRAT to Direct Holdings.
- (2) On 8/17/2018: 6,531 shares were transferred from the 2016 GRAT to Direct Holdings. On 9/08/2018: 11,564 shares were transferred from the 2017 GRAT to Direct Holdings.
- (3) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.