Rankin Thomas Parker Form 4 December 11, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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10% Owner

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rankin Thomas Parker

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 12/07/2018

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

Member of a Group

Director

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Officer (give title \_\_X\_ Other (specify

below)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

below)

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	ve Sec	urities Ac	cquired, Dispose	d of, or Benef	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/07/2018		Р	1 (1)	A	\$ 63.43 (2)	82	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock	12/07/2018		P	2 (1)	A	\$ 63.43 (2)	457	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in

Rankin Assoc

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								VI shares
Class A Common Stock	12/07/2018	P	2 (1)	A	\$ 63.43 (2)	457	Ι	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	12/07/2018	P	2 (1)	A	\$ 63.43 (2)	387	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	12/10/2018	P	5 (1)	A	\$ 61.53	462	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	12/10/2018	P	5 (1)	A	\$ 61.53	462	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	12/10/2018	P	4 (1)	A	\$ 61.53 (3)	391	I	Proportionate interest in Rankin Associates VI
Class A Common Stock						569	Ι	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares
Class A Common Stock						761	I	Co-trustee of trust fbo niece. Reflects proportionate interests in

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			Rankin Assoc II shares
Class A Common Stock	8,492	I	By Trust
Class A			Represents Reporting Person's proportionate
Common Stock	16,134	I	interest in shares held by Rankin Associates II, L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3. Transaction Date 3A. Deemed

1. Title of

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SEC 1474

(9-02)

6. Date Exercisable and 7. Title and Amount of 8. Price o

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5.

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Transaction Code (Instr. 8)	of	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	569	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	761	

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	8,322
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	16,134

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/11/2018

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-7-Weighted Average Share Price represents average price between \$63.00 and \$64.00.
- (3) 2018-Dec-10-Weighted Average Share Price represents average price between \$61.00 and \$61.95.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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