Rankin A Farnham Form 4 December 07, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rankin A Farnham

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

2. Issuer Name and Ticker or Trading Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year) 12/04/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

# MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non                           | -Derivative Secu   | ırities A | equired, Dispose   | d of, or Benef   | icially Owned   |
|--------------------------------------|---|---|---------------------------------------|--|-----------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transacti<br>Code<br>(Instr. 8) | 4. Securities Acor(A) or Disposed (Instr. 3, 4 and (A) or Amount (D) | d of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   |                                       |  |           |  |  | Proportiona   |

|                            |            | Code V | Amount | or<br>(D) | Price              | (Instr. 3 and 4) | (====================================== |   |
|----------------------------|------------|--------|--------|-----------|--------------------|------------------|---|---|
| Class A<br>Common<br>Stock | 12/04/2018 | Р      | 2 (1)  | A         | \$<br>64.99<br>(2) | 382              | I                                       | Proportionate interest in shares held by Rankin Associates VI |
| Class A<br>Common          | 12/06/2018 | P      | 2 (1)  | A         | \$<br>62.52        | 384              | I                                       | Proportionate interest in shares held by                      |

| Class A |            |   | 445   |   | \$         |     |   |
|---------|------------|---|-------|---|------------|-----|---|
|         | 12/06/2018 | P | 2 (1) | A | 62.52      | 384 | I |
| Stock   |            |   |       |   | <u>(4)</u> |     |   |
|         |            |   |       |   |            |     |   |

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| Class A Common Stock | 17,987 | I | proportionate<br>LP interest in<br>shares held by<br>RA II LP<br>which is held<br>in a trust |
|----------------------|--------|---|--|
| Class A Common Stock | 3,738  | I | Held by Trust<br>fbo Reporting<br>Person   |
| Class A Common Stock | 1,516  | I | Held by Trust  |
| Class A Common Stock | 81     | I | Proportionate interest in shares held by Rankin Associates V                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | etio | 5. onNumbor of Deriva Securi Acquir (A) or Dispos of (D) (Instr. 4, and | ative<br>ities<br>red<br>sed |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8. Price of Derivative Security (Instr. 5) |
|---|---|--------------------------------------|---|------------------------------------|------|---|------------------------------|---------------------|--------------------|---|-------------------------------------|--|
|   |   |                                      |   | Code                               | V    | (A) (   | (D)                          | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |  |
| Class B<br>Common<br>Stock                          | <u>(5)</u>  |                                      |   |                                    |      |   |                              | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock                                    | 17,987                              |  |
|   | <u>(5)</u>  |                                      |   |                                    |      |   |                              | <u>(5)</u>          | <u>(5)</u>         |   | 3,738                               |  |

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Class B Class A Common Common Stock Stock Class B Class A Common (5) (5) <u>(5)</u> Common 751 Stock Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin A Farnham 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-4-Weighted Average Share Price represents average price between \$64.98 and \$64.99.
- (3) held in trust u/a/d 8/12/2012
- (4) 2018-Dec-6-Weighted Average Share Price represents average price between \$62.50 and \$62.67.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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