RANKIN BRUCE T

Form 4

December 03, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or

SECURITIES Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

may continue. See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * RANKIN BRUCE T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

HYSTER-YALE MATERIALS

(Check all applicable)

HANDLING, INC. [HY] (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title __X_ Other (specify

6. Individual or Joint/Group Filing(Check

5875 LANDERBROOK DRIVE 11/29/2018 below) below) Member of a Group

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Ta	ble I - Non	-Derivati	ve Sec	urities Acqu	iired, Disposed (of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	owr Dispo (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/29/2018		P	405 (1)	A	\$ 64.5988	26,386	I	Held by Trust for the benefit of Reporting Person.
Class A Common Stock	11/29/2018		P	2 (1)	A	\$ 64.6 (2)	450	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/30/2018		P	405 (1)	A	\$ 64.8761	26,791	I	Held by Trust for the benefit of Reporting

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								Person.
Class A Common Stock	11/30/2018	P	3 (1)	A	\$ 64.88 (<u>3)</u>	453	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						42,666	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P held in trust
Class A Common Stock						25,099	Ĭ	proportionate limited partnership interests in shares held by RA II, L.P., held in a trust
Class A Common Stock						46,182	I	proportionate limited partnership interest in shares of Rankin Associates IV, L.P held in trust
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)								
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned								

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed	;	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				of (D)			

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(Instr. 3, 4, and 5)

		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	747
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	76,330
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	25,099
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	73,166

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN BRUCE T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 3

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

12/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-29-Weighted Average Share Price represents average price between \$64.10 and \$64.99.
- (3) 2018-Nov-30-Weighted Average Share Price represents average price between \$64.31 and \$64.99.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4