#### RANKIN VICTOIRE G

Form 4

November 21, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

Estimated average

See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Check all applicable)

below)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title \_\_X\_ Other (specify

5. Relationship of Reporting Person(s) to

5875 LANDERBROOK DRIVE

11/20/2018

Member of a Group

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Issuer

below)

MAYFIELD HEIGHTS, OH 44124

(Street)

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Sec	urities Acqu	rrities Acquired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	otor Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	11/20/2018		Р	133 (1)	A	\$ 64.1531	8,141	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin		
Class A Common Stock	11/20/2018		P	267 (1)	A	\$ 64.1531	116,101	I	spouse serves as Trustee of a Trust for the benefit of Alfred M.		

								Rankin, Jr (2)
Class A Common Stock	11/20/2018	P	533 (1)	A	\$ 64.1531	53,580	I	serves as Trustee of a Trust for the benefit of Victoire G. Rankin
Class A Common Stock	11/20/2018	P	400 (1)	A	\$ 64.1531	24,449	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin (2)
Class A Common Stock	11/20/2018	P	1 (1)	A	\$ 63.99 ( <u>3)</u>	60	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
Class A Common Stock	11/20/2018	P	42 (1)	A	\$ 63.99 (3)	12,603	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	11/20/2018	P	2 (1)	A	\$ 63.99 (3)	505	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	11/20/2018	P	42 (1)	A	\$ 63.99 (3)	107,385	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	11/20/2018	P	42 (1)	A	\$ 63.99 (3)	107,385	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
	11/20/2018	P	8 (1)	A	\$ 64.99	12,611	I	

Class A Common Stock								Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	11/20/2018	P	8 (1)	A	\$ 64.99	107,393	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	11/20/2018	P	8 (1)	A	\$ 64.99	107,393	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	11/20/2018	P	74 (1)	A	\$ 63.99 (3)	107,467	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock						21,920	I	Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						9,600	I	spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren (2)
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse (2)

Class A Common Stock	10,271	I	Spouse's proportionate limited partnership interest in shares held by RA1 (2)
Class A Common Stock	541	I	Spouses's proportionate limited parternship interest in shares held by AMR RAII (2)
Class A Common Stock	19	I	Spouse's proporationate partnership interest in RAIV (2)
Class A Common Stock	1,087	I	Spouses proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	202	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock	69	I	spouse's proportionate interest in shares held by RAVI held by

			Rankin Management, Inc.
Class A Common Stock	18,625	I	spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr (2)
Class A Common Stock	42,666	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1
Class A Common Stock	25,099	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proporationate interest in RAII (2)
Class A Common Stock	46,182	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 (2)
Class A Common Stock	438	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	437	I	Reporting person's proportionate interest in shares held by Rankin

Associates VI

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of		ate	7. Title and A Underlying S (Instr. 3 and A	Securities	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	25,524	
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	9,600	
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	14,160	

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 17,556 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 18,373 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 541 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 31 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 1,721 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 1,975 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A 18,625 Common Stock

Class B Common Stock	(4)	<u>(4)</u>	<u>(4)</u>	Class A Common 76,330 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 25,099 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 73,166 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 747 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 94,809 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 94,809 Stock
Class B Common	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A 21,006 Common

Stock Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN VICTOIRE G 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/21/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2018-Nov-20-Weighted Average Share Price represents average price between \$63.79 and \$64.07.
- (4) N/A

BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial

spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 9