

RANKIN CHLOE O
Form 4
November 20, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CHLOE O

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/15/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/15/2018		G	V 266 A \$ 0	499	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/15/2018		G	V 8,435 A \$ 0	22,336	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
	11/15/2018		G	V 8,435 A \$ 0	22,336	I	

Edgar Filing: RANKIN CHLOE O - Form 4

Class A Common Stock									Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/15/2018	G	V	8,435	A	\$ 0	22,336	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/16/2018	P		2	A	\$ 63.31	501	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/16/2018	P		34	A	\$ 63.31	22,370	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/16/2018	P		34	A	\$ 63.31	22,370	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/16/2018	P		34	A	\$ 63.31	22,370	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/16/2018	P		60	A	\$ 63.31	22,430	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/16/2018	P		60	A	\$ 63.31	22,430	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common	11/16/2018	P		60	A	\$ 63.31	22,430	I	Spouse serves as trustee of

Edgar Filing: RANKIN CHLOE O - Form 4

Stock								GSTs for the benefit of Julia Kuipers
Class A Common Stock	11/16/2018	P	1	A	\$ 63.31	199	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	11/16/2018	P	3	A	\$ 63.31	432	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/19/2018	P	2 <u>(1)</u>	A	\$ 63.71	503	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	11/19/2018	P	4 <u>(1)</u>	A	\$ 65	22,464	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	11/19/2018	P	4 <u>(1)</u>	A	\$ 65	22,464	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	11/19/2018	P	4 <u>(1)</u>	A	\$ 65	22,464	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock						35,857	I	proportionate LP interest in shares held by RA1
Class A Common Stock						2,116	I	proportionate limited partnership interests in shares held by Rankin Associates II,

Edgar Filing: RANKIN CHLOE O - Form 4

Class A Common Stock	2,283	I	L.P serves as Trustee of a Trust for the benefit of Chloe O. Rankin
Class A Common Stock	19	I	spouses proportionate GP interest in shares of RA IV <u>(2)</u>
Class A Common Stock	14,567	I	spouse proportionate limited partnership interest in shares held by RA I LP <u>(2)</u>
Class A Common Stock	35,628	I	spouse's proportionate interest in shares held by RAII <u>(2)</u>
Class A Common Stock	65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P <u>(2)</u>
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI) <u>(2)</u>
Class A Common Stock	59	I	Spouse's interest in shares held by RA5 held by Rankin Management
Class A Common	68	I	Spouse's interest in

Edgar Filing: RANKIN CHLOE O - Form 4

Stock							shares held by RA6 held by Rankin Management
Class A Common Stock					132,073	I	spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	64,143
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	2,116
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	2,783

Edgar Filing: RANKIN CHLOE O - Form 4

Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	31
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	26,057
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	35,628
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	1,975
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	123,760
Class B Common Stock	<u>(3)</u>		<u>(3)</u>	<u>(3)</u>	Class A Common Stock	6,889

