

STEPAN F QUINN JR
Form 4
March 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEPAN F QUINN JR

2. Issuer Name and Ticker or Trading Symbol
STEPAN CO [SCL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

22 W. FRONTAGE ROAD

03/02/2018

Chairman, President & CEO

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NORTHFIELD, IL 60093

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	03/02/2018		G	V 11,834 D \$ 0	108,608 ⁽¹⁾	D ⁽²⁾	
Common Stock	03/02/2018		M	10,000 A \$ 37.51	163,404.634 ⁽¹⁾	D	
Common Stock	03/02/2018		S	10,000 D \$ 79.814 ⁽³⁾	153,404.634	D	
Common Stock	03/06/2018		G	V 1,936 D \$ 0	106,672	D ⁽²⁾	
Common Stock	03/05/2018		M	10,000 A \$ 37.51	163,404.634	D	

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Common Stock	03/05/2018	S	5,000	D	\$ 80.952 <u>(4)</u>	158,404.634	D	
Common Stock	03/05/2018	S	4,472	D	\$ 81.814 <u>(5)</u>	153,932.634	D	
Common Stock	03/05/2018	S	528	D	\$ 82.705 <u>(6)</u>	153,404.634	D	
Common Stock	03/06/2018	M	10,000	A	\$ 37.51	163,404.634	D	
Common Stock	03/06/2018	S	10,000	D	\$ 82.689 <u>(7)</u>	153,404.634	D	
Common Stock	03/06/2018	M	5,766	A	\$ 37.51	159,170.634	D	
Common Stock	03/06/2018	S	5,766	D	\$ 83.784 <u>(8)</u>	153,404.634	D	
Common Stock						11,066.432	I	By Esop II Trust
Common Stock						160,000	I	By Family LLC <u>(9)</u>
Common Stock						48,000	I	By Family Trust <u>(9)</u>
Common Stock						54,978	I	By Family Trust III
Common Stock						40,000	I	By Family Trust IV <u>(9)</u>
Common Stock						0 <u>(1)</u>	I	By Spouse
Common Stock						401,210	I	Member Of Plan Committee Of Stepan Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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(2) Joint Tenancy with Spouse.

The price reported is a weighted average price. Shares were sold in a series of transactions within the same trading day at prices ranging

- (3) from \$79.62 to \$80.06, inclusive. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, the issuer, or a security holder of the issuer.

The price reported is a weighted average price. Shares were sold in a series of transactions within the same trading day at prices ranging

- (4) from \$80.47 to \$81.08, inclusive. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, the issuer, or a security holder of the issuer.

The price reported is a weighted average price. Shares were sold in a series of transactions within the same trading day at prices ranging

- (5) from \$81.50 to \$82.37, inclusive. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, the issuer, or a security holder of the issuer.

The price reported is a weighted average price. Shares were sold in a series of transactions within the same trading day at prices ranging

- (6) from \$82.55 to \$82.735, inclusive. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, the issuer, or a security holder of the issuer.

The price reported is a weighted average price. Shares were sold in a series of transactions within the same trading day at prices ranging

- (7) from \$82.29 to \$83.25, inclusive. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, the issuer, or a security holder of the issuer.

The price reported is a weighted average price. Shares were sold in a series of transactions within the same trading day at prices ranging

- (8) from \$83.39 to \$84.108, inclusive. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, the issuer, or a security holder of the issuer.

- (9) The Reporting Person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities for the purpose of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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