

MORELAND KENNETH V
Form 4
January 04, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MORELAND KENNETH V

2. Issuer Name and Ticker or Trading Symbol
PRICE T ROWE GROUP INC
[TROW]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
01/02/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
CFO & Vice President

T. ROWE PRICE GROUP, INC., P.O. BOX 89000

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

BALTIMORE, MD 21289-0320

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)				
				Code	V	Amount	(A) or (D)	Price			
Common Stock	01/02/2018		A		12.704	A		\$ 105.3369 (1)	100,795.615	D	
Common Stock	01/02/2018		M		921	A		\$ 60.7984	101,716.615	D	
Common Stock	01/02/2018		M		1,230	A		\$ 69.6708	102,946.615	D	
Common Stock	01/02/2018		M		1,229	A		\$ 70.2854	104,175.615	D	
	01/02/2018		M		1,179	A		\$ 77.9443	105,354.615	D	

Edgar Filing: MORELAND KENNETH V - Form 4

Common Stock							
Common Stock	01/02/2018		M	1,179	A	\$ 78.4418	106,533.615 D
Common Stock	01/02/2018		M	1,179	A	\$ 80.9492	107,712.615 D
Common Stock	01/02/2018		M	1,149	A	\$ 70.92	108,861.615 D
Common Stock	01/02/2018		M	1,479	A	\$ 67.5613	110,340.615 D
Common Stock	01/02/2018		M	1,300	A	\$ 59.0693	111,640.615 D
Common Stock	01/02/2018		M	379	A	\$ 60.7984	112,019.615 D
Common Stock	01/02/2018		M	2	A	\$ 70.2854	112,021.615 D
Common Stock	01/02/2018		M	1	A	\$ 70.92	112,022.615 D
Common Stock	01/02/2018		F	1,937	D	\$ 103.08	110,085.615 D
Common Stock	01/02/2018		S	8,066	D	\$ <u>(2)</u>	104,1201 102,019.615 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Edgar Filing: MORELAND KENNETH V - Form 4

Stock Options (Right to Buy)	\$ 60.7984	01/02/2018	M	921	12/10/2013 ⁽³⁾	09/06/2022	Common Stock	921
Stock Options (Right to Buy)	\$ 69.6708	01/02/2018	M	1,230	12/10/2014 ⁽⁴⁾	02/21/2023	Common Stock	1,230
Stock Options (Right to Buy)	\$ 70.2854	01/02/2018	M	1,229	12/10/2014 ⁽⁵⁾	09/10/2023	Common Stock	1,229
Stock Options (Right to Buy)	\$ 77.9443	01/02/2018	M	1,179	12/10/2015 ⁽⁶⁾	02/19/2024	Common Stock	1,179
Stock Options (Right to Buy)	\$ 78.4418	01/02/2018	M	1,179	12/10/2015 ⁽⁷⁾	09/09/2024	Common Stock	1,179
Stock Options (Right to Buy)	\$ 80.9492	01/02/2018	M	1,179	12/09/2016 ⁽⁸⁾	02/19/2025	Common Stock	1,179
Stock Options (Right to Buy)	\$ 70.92	01/02/2018	M	1,149	12/09/2016 ⁽⁹⁾	09/10/2025	Common Stock	1,149
Stock Options (Right to Buy)	\$ 67.5613	01/02/2018	M	1,479	11/01/2012 ⁽¹⁰⁾	02/17/2021	Common Stock	1,479
Stock Options (Right to Buy)	\$ 59.0693	01/02/2018	M	1,300	12/10/2013 ⁽¹¹⁾	02/23/2022	Common Stock	1,300
Stock Options (Right to Buy)	\$ 60.7984	01/02/2018	M	379	12/10/2013 ⁽³⁾	09/06/2022	Common Stock	379
Stock Options (Right to Buy)	\$ 70.2854	01/02/2018	M	2	12/10/2014 ⁽⁵⁾	09/10/2023	Common Stock	2
	\$ 70.92	01/02/2018	M	1	12/09/2016 ⁽⁹⁾	09/10/2025		1

Stock
Options
(Right to
Buy)

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORELAND KENNETH V T. ROWE PRICE GROUP, INC. P.O. BOX 89000 BALTIMORE, MD 21289-0320			CFO & Vice President	

Signatures

/s/ Kenneth V. Moreland, Chief Financial
Officer

01/04/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESPP - Shares purchased pursuant to the T. Rowe Price Group, Inc. Employee Stock Purchase Plan at the noted weighted-average price.
 - (2) The range of prices for this transaction were \$104.11 to \$104.18. Will provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
 - (3) 09/06/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.
 - (4) 02/21/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.
 - (5) 09/10/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.
 - (6) 02/19/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.
 - (7) 09/09/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.
 - (8) 02/19/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.
 - (9) 09/10/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.
 - (10) 02/17/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.
 - (11) 02/23/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.