

Allegion plc
Form 4
November 03, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Yu Feng William

(Last) (First) (Middle)

C/O SCHLAGE LOCK CO.
LLC, 11819 N. PENNSYLVANIA
STREET

(Street)

INDIANAPOLIS, IN 46032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Allegion plc [ALLE]

3. Date of Earliest Transaction
(Month/Day/Year)
11/02/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr. Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Ordinary Shares | 11/02/2016 | | M | | 1,142 A \$ 29.0956 | | D |
| Ordinary Shares | 11/02/2016 | | M | | 2,280 A \$ 29.0956 | | D |
| Ordinary Shares | 11/02/2016 | | M | | 2,467 A \$ 25.0173 | | D |
| Ordinary Shares | 11/02/2016 | | M | | 3,253 A \$ 32.3319 | | D |
| Ordinary Shares | 11/02/2016 | | M | | 1,278 A \$ 54.125 | | D |

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| | | | | | | | | |
|-----------------|------------|--|---|--------|---|------------------|--------|---|
| Ordinary Shares | 11/02/2016 | | M | 1,398 | A | \$ 57.85 | 43,822 | D |
| Ordinary Shares | 11/02/2016 | | S | 11,818 | D | \$ <u>(1)</u> | 32,004 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. F... |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 29.0956 | 11/02/2016 | | M | 1,142 | <u>(2)</u> 02/13/2021 | Ordinary Shares | 1,142 |
| Stock Option (Right to Buy) | \$ 29.0956 | 11/02/2016 | | M | 2,280 | <u>(3)</u> 02/13/2021 | Ordinary Shares | 2,280 |
| Stock Option (Right to Buy) | \$ 25.0173 | 11/02/2016 | | M | 2,467 | <u>(4)</u> 02/23/2022 | Ordinary Shares | 2,467 |
| Stock Option (Right to Buy) | \$ 32.3319 | 11/02/2016 | | M | 3,253 | <u>(5)</u> 02/21/2023 | Ordinary Shares | 3,253 |
| Stock Option (Right to Buy) | \$ 54.125 | 11/02/2016 | | M | 1,278 | <u>(6)</u> 03/11/2024 | Ordinary Shares | 1,278 |

| | | | | | | | | |
|--------------------------------------|----------|------------|---|-------|------------|------------|--------------------|-------|
| Stock Option (Right to Buy) | \$ 57.85 | 11/02/2016 | M | 1,398 | <u>(7)</u> | 02/20/2025 | Ordinary Shares | 1,398 |
|--------------------------------------|----------|------------|---|-------|------------|------------|--------------------|-------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Yu Feng William C/O SCHLAGE LOCK CO. LLC 11819 N. PENNSYLVANIA STREET INDIANAPOLIS, IN 46032 | | | Sr. Vice President | |

Signatures

| | |
|--|------------|
| /s/ S. Wade Sheek, Attorney-In-Fact | 11/03/2016 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple purchases ranging from \$63.50 to \$63.52 per share. The price reported above reflects the
- (1) weighted average purchase price. The reporting person hereby undertakes to provided upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
 - (2) A stock option that vest on February 14, 2014.
 - (3) A stock option which is fully vested and exercisable.
 - (4) A stock option that vests in equal annual installments on February 24, 2014 and February 24, 2015.
 - (5) A stock option that vest in equal annual installments on February 22, 2014, February 22, 2015 and February 22, 2016.
 - (6) A stock option that vests in equal annual installments on March 11, 2015, March 11, 2016 and March 11, 2017.
 - (7) Stock options that vest in equal annual installments on February 20, 2016, February 20, 2017 and February 20, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.