

AMPHENOL CORP /DE/  
Form 4/A  
October 28, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NORWITT RICHARD ADAM

(Last) (First) (Middle)

C/O AMPHENOL CORPORATION, 358 HALL AVENUE

(Street)

WALLINGFORD, CT 06492

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AMPHENOL CORP /DE/ [APH]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/21/2016

4. If Amendment, Date Original Filed(Month/Day/Year)  
10/25/2016

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Class A Common Stock            | 10/21/2016                           |  | M                              |   | 304,000   | A  | \$ 16.005 304,992                                     |
| Class A Common Stock            | 10/21/2016                           |  | S                              |   | 245,000   | D  | \$ 66.0744 59,992<br><u>(1) (2)</u>                   |
| Class A Common Stock            | 10/24/2016                           |  | M                              |   | 84,000  | A  | \$ 16.005 143,992                                     |
| Class A Common Stock            | 10/24/2016                           |  | S                              |   | 50,000  | D  | \$ 66.271 93,992                                      |

|  | (1)     | (3) |  |
|--|---------|-----|--|
| Common Stock   |         |     | Norwitt Family Trust 9-20-2012, Richard Adam Norwitt and Glori Joan Norwitt, original Trustees |
| Class A Common Stock-Trust <u>(4)</u>                          | 124,741 | I   |  |
| Richard A. Norwitt Grantor Retained Annuity Trust-A <u>(4)</u> | 51,465  | I   | by Grantor Retained Annuity Trust-A  |
| Richard A. Norwitt Grantor Retained Annuity Trust-B <u>(4)</u> | 51,465  | I   | by Grantor Retained Annuity Trust-B  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount of Number of Shares                              |
| Stock Option                               | \$ 16.005  | 10/21/2016                           |  | M                              | 304,000   | 05/21/2010 05/20/2019                                    | Class A Common Stock 304,000                                  |

|        |           |            |   |        |            |            |                            |        |
|--------|-----------|------------|---|--------|------------|------------|----------------------------|--------|
| Stock  | \$ 16.005 | 10/24/2016 | M | 84,000 | 05/21/2010 | 05/20/2019 | Class A<br>Common<br>Stock | 84,000 |
| Option |           |            |   |        |            |            |                            |        |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                    |       |
|--|---------------|-----------|--------------------|-------|
|  | Director      | 10% Owner | Officer            | Other |
| NORWITT RICHARD ADAM<br>C/O AMPHENOL CORPORATION<br>358 HALL AVENUE<br>WALLINGFORD, CT 06492 | X             |           | President<br>& CEO |       |

## Signatures

Edward C. 10/28/2016  
Wetmore, POA

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the  
  - (1) SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
  - (2) This transaction was executed in multiple trades at prices ranging from \$65.94 to \$66.25.
  - (3) This transaction was executed in multiple trades ranging from \$66.20 to \$66.37.
  - (4) Amended Form 4 to include indirect holdings that were previously omitted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.