

ILLUMINA INC  
Form 4  
April 14, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FLATLEY JAY T

(Last) (First) (Middle)  
5200 ILLUMINA WAY  
(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/12/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |  |
| Common Stock                    | 04/12/2016                           |  | M                              |   | 20,000<br>(1)   | A  | \$ 36.3 508,061 D  |
| Common Stock                    | 04/12/2016                           |  | S                              |   | 1,610<br>(1)  | D  | \$ 168.191 506,451 D<br>(2)                                      |
| Common Stock                    | 04/12/2016                           |  | S                              |   | 3,308<br>(1)  | D  | \$ 169.164 503,143 D<br>(3)                                      |
| Common Stock                    | 04/12/2016                           |  | S                              |   | 11,317<br>(1)   | D  | \$ 170.164 491,826 D<br>(4)                                      |

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|              |            |   |                     |   |                             |         |   |              |
|--------------|------------|---|---------------------|---|-----------------------------|---------|---|--------------|
| Common Stock | 04/12/2016 | S | 3,365<br><u>(1)</u> | D | \$<br>171.202<br><u>(5)</u> | 488,461 | D |              |
| Common Stock | 04/12/2016 | S | 400 <u>(1)</u>      | D | \$<br>171.978<br><u>(6)</u> | 488,061 | D |              |
| Common Stock |            |   |                     |   |                             | 6,000   | I | By Daughters |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Non-qualified Stock Option (Right to Buy)  | \$ 36.3  | 04/12/2016                           |  | M                              | 20,000<br><u>(1)</u>  | 02/28/2010 01/28/2020                                    | Common Stock 20,000   |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| FLATLEY JAY T<br>5200 ILLUMINA WAY<br>SAN DIEGO, CA 92122 |               |           | Chief Executive Officer |       |

## Signatures

By: Scott M. Davies for Jay T. Flatley 04/14/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale was made pursuant to a 10b5-1 plan.
- (2) Weighted average sale price representing 1,610 shares sold ranging from \$167.70 to \$168.67 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 3,308 shares sold ranging from \$168.72 to \$169.71 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 11,317 shares sold ranging from \$169.82 to \$170.80 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 3,365 shares sold ranging from \$170.82 to \$171.81 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Weighted average sale price representing 400 shares sold ranging from \$171.88 to \$172.20 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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