Edgar Filing: PENNYMAC FINANCIAL SERVICES, INC. - Form 4

PENNYMAC Form 4 March 09, 20		L SERVICE	ES, INC.								
FORM /								OMB APPROVAL			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. SUBJECT STATES STATEMENT OF Filed pursuant to S Section 17(a) of the 20(b)				SECURITIES AND EXCHANGE C Washington, D.C. 20549					OMB Number:	3235-0287	
			F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940					ge Act of 1934, f 1935 or Sectio	Expires: January 31 2009 Estimated average burden hours per response 0.9		
See Instru- 1(b).	ction	50(II)	of the fits	estinent	company	y Het	0117				
(Print or Type R	esponses)										
]			2. Issuer Name and Ticker or Trading Symbol PENNYMAC FINANCIAL SERVICES, INC. [PFSI]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	^(First) MAC FINAN INC., 6101 C		3. Date of (Month/Da 03/07/20	ay/Year)	ansaction			X Director Officer (give below)		6 Owner er (specify	
	(Street) 4. If Amend Filed(Month			dment, Date Original h/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MOORPAR	K, CA 93021							Form filed by M Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	Yansaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit onAcquired Disposed (Instr. 3,	ties (A) o of (D	r)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Class A				Code V	7,712						
Common Stock	03/07/2016			А	(<u>1</u>)	А	\$0	40,470 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Hunt James K C/O PENNYMAC FINANCIAL SERVICES, INC. 6101 CONDOR DRIVE MOORPARK, CA 93021	Х					
Signatures						
/s/ Derek W. Stark, attorney-in-fact for Mr. Hunt	03/09/2016					
**Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person was granted 7,712 restricted stock units in connection with his service as a director. The restricted stock units,(1) which vest in three equal annual installments beginning on the first anniversary of the grant date, are to be settled in an equal number of shares of Class A Common Stock upon vesting.
- (2) The reported amount consists of 17,404 restricted stock units and 23,066 shares of Class A Common Stock. The restricted stock units are to be settled in an equal number of shares of Class A Common Stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.