#### UMB FINANCIAL CORP

Form 3

December 03, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement UMB FINANCIAL CORP [UMBF] KING DAVID D (Month/Day/Year) 11/23/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1010 GRAND BLVD. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) KANSAS CITY, MOÂ 64106 Form filed by More than One EVP/Chief Risk Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 6,561.1844 Common Stock 891.0892 I By Esop Reminder: Report on a separate line for each class of securities beneficially

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying		5. Ownership	6. Nature of Indirect Beneficial	
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership	
		(Instr. 4)	Price of	Derivative	(Instr. 5)	
			Derivative	Security:		

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	01/01/2010(1)	01/01/2017	Common Stock	5,014	\$ 38.84	D	Â
Stock Option (Right to Buy)	01/01/2012(2)	01/01/2019	Common Stock	5,034	\$ 41.37	D	Â
Stock Option (Right to Buy)	01/01/2013	01/01/2020	Common Stock	5,258	\$ 37.84	D	Â
Stock Option (Right to Buy)	01/01/2014(3)	01/01/2021	Common Stock	4,541	\$ 41.71	D	Â
Stock Option (Right to Buy)	01/01/2015(4)	01/01/2022	Common Stock	5,255	\$ 39.97	D	Â
Stock Option (Right to Buy)	01/01/2016	01/01/2023	Common Stock	4,560	\$ 45.58	D	Â
Stock Option (Right to Buy)	01/01/2017(5)	01/01/2024	Common Stock	3,562	\$ 57.4	D	Â
Stock Option (Right to Buy)	02/11/2018	02/11/2025	Common Stock	3,953	\$ 51.42	D	Â
Stock Option (Right to Buy)	01/01/2009(6)	01/01/2016	Common Stock	1,809	\$ 34.84	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
rr a g a m a m a m a m a m a m a m a m a m	Director	10% Owner	Officer	Other
KING DAVID D 1010 GRAND BLVD. KANSAS CITY, MO 64106	Â	Â	EVP/Chief Risk Officer	Â

### **Signatures**

John C Pauls, Attorney in Fact 12/03/2015

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options will vest 50% on the third 1/1/2010; 75% on the 1/1/2011; and 100% on 1/1/2012.
- (2) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014
- (3) Options will vest 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2015
- (4) Options will vest 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.

Reporting Owners 2

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- (5) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019
- (6) Options vest 50% after 3 years, 75% after 4 years and 100% after 5 years

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.