PENNYMAC FINANCIAL SERVICES, INC.

Form 4

Class A

Stock

Common

10/30/2015

November 02, 2015

FORM	ЛД							OMB AF	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check t	nger						Expires:	January 31,		
if no longer subject to Section 16. Form 4 or Form 5 obligations obligations STATEMENT OF CHANGES IN BENEFICE SECURITIES Filed pursuant to Section 16(a) of the Securities obligations Section 17(a) of the Public Utility Holding Company						Exchange A	Estimated a burden hour response			
may con See Inst 1(b).	ntinue. Section 176	(a) of the Public 30(h) of the	•	_	•	•				
1. Name and Jones Doug	Address of Reporting	Symbo					5. Relationship of Reporting Person(s) to ssuer			
			VICES, IN				(Check all applicable)			
(Last)	(First) (UNIOHHI/Day/Tead				Director _X Officer (give	titleOthe	Owner er (specify	
C/O PENNYMAC FINANCIAL 10/29/2015 below) below) SERVICES, INC., 6101 CONDOR DRIVE								g Off		
	(Street)		mendment, l	_	al		. Individual or Joi	nt/Group Filin	g(Check	
MOORPA	RK, CA 93021	Filed(I					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) T	able I - Non	-Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	iomr Dispos (Instr. 3,	sed of	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	10/29/2015		M	12,000		(<u>1</u>)	12,000	I	The Jones Family Trust	
Class A Common Stock	10/29/2015		S	3,000 (2)	D	\$ 16.6608	9,000	I	The Jones Family Trust	

3,000

(2)

\$ 17.01 6,000

S

The Jones

Family

Trust

Ι

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Class A Common Stock	11/02/2015	S	3,000 (2)	D	\$ 16.5577 (4)	3,000	I	The Jones Family Trust	
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Cl A Units of Private Nat'l Mortgage Acceptance Company, LLC	<u>(1)</u>	10/29/2015		M	12,000	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	12,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Jones Doug C/O PENNYMAC FINANCIAL SERVICES, INC. 6101 CONDOR DRIVE MOORPARK, CA 93021

Ch Institutional Mrtg Bnkg Off

Signatures

/s/ Derek W. Stark, attorney-in-fact for Mr. 11/02/2015 Jones

> Date **Signature of Reporting Person

Reporting Owners 2

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the terms of an exchange agreement, Class A Units of Private National Mortgage Acceptance Company, LLC are
- (1) exchangeable for shares of Class A Common Stock of the Issuer on a one-for-one basis, subject to customary conversion rate adjustments.
- (2) These shares of Class A Common Stock were sold pursuant to a 10b5-1 plan.
- The price reported is the weighted average price of multiple transactions ranging from \$16.41 to \$16.915 The reporting person hereby (3) undertakes to provide upon request to the SEC, the Issuer or a security holder of the Issuer the number of shares of Class A Common
- Stock and the prices at which the transactions were effected.
- The price reported is the weighted average price of multiple transactions ranging from \$16.54 to \$16.59. The reporting person hereby (4) undertakes to provide upon request to the SEC, the Issuer or a security holder of the Issuer the number of shares of Class A Common Stock and the prices at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.