

Duke Energy CORP  
Form 4  
September 02, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JANSON JULIA S

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
550 S. TRYON STREET

3. Date of Earliest Transaction (Month/Day/Year)  
08/28/2014

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP&Chf Lgl Off&CorpSecretary

(Street)  
CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	08/28/2014		M	1,224 D	\$ 46.5	23,126	D
Common Stock	08/28/2014		M	1,244 D	\$ 45.78	24,370	D
Common Stock	08/28/2014		S	1,044 D	\$ 73.27	23,326	D
Common Stock	08/28/2014		S	200 D	\$ 73.28	23,126	D
Common Stock	08/28/2014		M	387 D	\$ 46.5	23,513	D

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Common Stock	08/28/2014	S	87	D	\$ 73.26	23,426	D	
Common Stock	08/28/2014	S	300	D	\$ 73.265	23,126	D	
Common Stock	08/28/2014	M	316	D	\$ 45.78	23,442	D	
Common Stock	08/28/2014	S	316	D	\$ 73.275	23,126	D	
Common Stock						2,326	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 45.78	08/28/2014		M	1,244	04/01/2006 <sup>(1)</sup>	01/01/2015	Common Stock	1,244
Stock Option (Right to Buy)	\$ 46.5	08/28/2014		M	1,224	<sup>(2)</sup>	01/01/2016	Common Stock	1,224
Stock Option (Right to Buy)	\$ 45.78	08/28/2014		M	316	04/01/2006 <sup>(1)</sup>	01/01/2015	Common Stock	316
Stock Option	\$ 46.5	08/28/2014		M	387	<sup>(2)</sup>	01/01/2016	Common Stock	387

(Right to Buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JANSON JULIA S 550 S. TRYON STREET CHARLOTTE, NC 28202			EVP&Chf Lgl Off&CorpSecretary	

## Signatures

/s/ David S. Maltz, attorney-in-fact for Julia S. Janson

09/02/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options became exercisable in connection with the April 3, 2006 merger between Duke Energy and Cinergy.
- (2) The reporting person was granted 4,836 options on January 1, 2006. The options vested in three equal annual installments beginning on the first anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.