

BALL CORP
Form 4
March 04, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRADFORD DOUGLAS K

(Last) (First) (Middle)

BALL CORPORATION, 10 LONGS
PEAK DR.

(Street)

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL CORP [BLL]

3. Date of Earliest Transaction
(Month/Day/Year)
03/03/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
V.P. FINANCIAL REPORTING & TAX

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	03/03/2014		M ⁽¹⁾		4,192 A \$ 19.87	29,964.5859	D
Common Stock	03/03/2014		M ⁽²⁾		7,808 A \$ 19.87	37,772.5859	D
Common Stock	03/03/2014		S		595 D \$ 55.125	37,177.5859	D
Common Stock	03/03/2014		S		200 D \$ 55.13	36,977.5859	D
Common Stock	03/03/2014		S		100 D \$ 55.135	36,877.5859	D

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Common Stock	03/03/2014	S	200	D	\$ 55.14	36,677.5859	D
Common Stock	03/03/2014	S	300	D	\$ 55.15	36,377.5859	D
Common Stock	03/03/2014	S	200	D	\$ 55.155	36,177.5859	D
Common Stock	03/03/2014	S	800	D	\$ 55.16	35,377.5859	D
Common Stock	03/03/2014	S	1,300	D	\$ 55.17	34,077.5859	D
Common Stock	03/03/2014	S	600	D	\$ 55.175	33,477.5859	D
Common Stock	03/03/2014	S	1,700	D	\$ 55.18	31,777.5859	D
Common Stock	03/03/2014	S	200	D	\$ 55.185	31,577.5859	D
Common Stock	03/03/2014	S	1,200	D	\$ 55.19	30,377.5859	D
Common Stock	03/03/2014	S	200	D	\$ 55.195	30,177.5859	D
Common Stock	03/03/2014	S	1,700	D	\$ 55.2	28,477.5859	D
Common Stock	03/03/2014	S	405	D	\$ 55.21	28,072.5859	D
Common Stock	03/03/2014	S	200	D	\$ 55.22	27,872.5859	D
Common Stock	03/03/2014	S	300	D	\$ 55.23	27,572.5859	D
Common Stock	03/03/2014	S	300	D	\$ 55.24	27,272.5859	D
Common Stock	03/03/2014	S	100	D	\$ 55.255	27,172.5859	D
Common Stock	03/03/2014	S	800	D	\$ 55.26	26,372.5859	D
Common Stock	03/03/2014	S	100	D	\$ 55.265	26,272.5859	D
Common Stock	03/03/2014	S	300	D	\$ 55.27	25,972.5859	D
Common Stock	03/03/2014	S	200	D	\$ 55.28	25,772.5859	D
						910.503	I

Common
Stock

401(k)
Plan ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (iso) (Right to Buy)	\$ 19.87	03/03/2014		M ⁽¹⁾	4,192	04/27/2006 04/27/2015	Common Stock	4,192
Employee Stock Option (Right to Buy)	\$ 19.87	03/03/2014		M ⁽²⁾	7,808	04/27/2006 04/27/2016	Common Stock	7,808

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BRADFORD DOUGLAS K
BALL CORPORATION
10 LONGS PEAK DR.
BROOMFIELD, CO 80021-2510

V.P. FINANCIAL REPORTING & TAX

Signatures

/s/ Janice L. Rodriguez, attorney-in-fact for Mr.
Bradford

03/04/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of incentive stock options.
 - (2) Exercise of stock options.
 - (3) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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