Edgar Filing: PRICE T ROWE GROUP INC - Form 4

PRICE T ROWE GROUP INC Form 4 September 12, 2013 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BERNARD EDWARD C Issuer Symbol PRICE T ROWE GROUP INC (Check all applicable) [TROW] (Last) (First) (Middle) 3. Date of Earliest Transaction _X_ Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) T. ROWE PRICE GROUP. 09/10/2013 Vice COB & Vice President INC., P.O. BOX 89000 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting BALTIMORE, MD 21289-0320 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transactionor Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) (D) Price Code V Amount \$ Common 09/10/2013 V 16.592 A 70.3158 598,185.784 D Α Stock (1)Edward C. Common Bernard 50,000 Ι Stock August 2011 Grat 2012 Common 77,500 Ι Stock Ellen N.

Edgar Filing: PRICE T ROWE GROUP INC - Form 4

								Bernard Family Trust		
Common Stock						151,963	I	2008 Bernard Family Trust		
Common Stock						60,500	Ι	By Spouse		
Reminder: R	Report on a ser	parate line for each cla	ass of securities bene	ficially own	ed directly or in	directly.				
				inform require	ation containe ed to respond ys a currently	nd to the collected in this form unless the for valid OMB cor	are not m	C 1474 (9-02)		
			ative Securities Acc puts, calls, warrants							
1. Title of Derivative Security (Instr. 3)	vative Conversion (Month/Day/Year)		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date Ur		Underlying	7. Title and Amount o Underlying Securities Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisab	e Expiration Date	Title	Amount or Number of Share	
Stock Options (Right to Buy)	\$ 72.04	09/10/2013		А	16,000	12/10/2014 <u>(</u>	<u>2)</u> 09/10/2023	Common Stock	16,000	
Repo	rting O	wners								
Reporting Owner Name / Address					Relationships					
Repor	ting Owner N	ame / Address	Director 10)% Owner	Officer	Other				
BERNARD EDWARD C T. ROWE PRICE GROUP, INC.			Vice COB &							

Vice

President

T. ROWE PRICE GROUP, INC. P.O. BOX 89000 BALTIMORE, MD 21289-0320

Reporting Owners

Х

Signatures

/s/ Power of Attorney, Steven J. Banks, Director of Corporate Services

09/12/2013 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired pursuant to the T. Rowe Price Group, Inc. Employee Stock Purchase Plan at the noted weighted-average price.
- (2) 09/10/2013 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

3