## Edgar Filing: Ushio Misti - Form 4

Ushio Misti Form 4 July 11, 201							
FORM	ΛΔ			OMB APPROVAL			
	UNITED STAT	ES SECURITIES AND EXCHA Washington, D.C. 20549	NGE COMMISSION	OMB 3235-0287 Number:			
Check the check	der			Expires: January 31, 2005			
subject t	subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						
Section Form 4		SECURITIES					
Form 5	Filed pursuant	to Section 16(a) of the Securities Ex	xchange Act of 1934,	response 0.5			
obligation may cor	$\frac{1}{2}$ Section $\frac{1}{17}$ (a) of t	he Public Utility Holding Company	-	I			
See Inst		(h) of the Investment Company Act	t of 1940				
1(b).							
(Print or Type	Responses)						
1. Name and Ushio Mist	Address of Reporting Person		-0 -	5. Relationship of Reporting Person(s) to Issuer			
	I	Symbol HARRIS & HARRIS GROUP					
		/NY/ [TINY]	(Check	(Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction	Director	10% Owner			
1450 000		(Month/Day/Year)	X Officer (give below)	title Other (specify below)			
1450 BRO	ADWAY, FLOOR 24	07/11/2012	Executiv	tive Vice President			
	(Street)	4. If Amendment, Date Original		int/Group Filing(Check			
		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by O	ne Reporting Person			
NEW YOR	K, NY 10018			ore than One Reporting			
(City)	(State) (Zip)						
		Table I - Non-Derivative Securi		-			
1.Title of Security	2. Transaction Date 2A. I (Month/Day/Year) Exec	Deemed 3. 4. Securities Activition Date, if Transaction(A) or Disposed		6. 7. Nature of Ownership Indirect			
(Instr. 3)	any	Code (Instr. 3, 4 and 5		Form: Direct Beneficial			
	(Mor	th/Day/Year) (Instr. 8)	Owned Following	(D) or Ownership Indirect (I) (Instr. 4)			
		(A)	Reported Transaction (a)	(Instr. 4)			
		or	Transaction(s) (Instr. 3 and 4)				
Common		Code V Amount (D)	Price \$				
Stock	07/11/2012	$P(1) = \frac{1}{2} \Delta$	<sup>(4)</sup> 3.9563 130,515	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Ushio Misti 1450 BROADWAY FLOOR 24 NEW YORK, NY 10018			Executive Vice President		
Signatures					
/s/ Jackie Matthews by Power of Attorney 07/11		/2012			
**Signature of Reporting Person		D	ate		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to an Employee Stock Purchase Plan adopted by the reporting person on June 8, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.