

COOPER COMPANIES INC
Form 4
April 08, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WEBER JOHN ARTHUR

(Last) (First) (Middle)

6140 STONERIDGE MALL ROAD,
SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction
(Month/Day/Year)

04/06/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

President, CooperVision, Inc.

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock | 04/06/2011 | | M | | 200 A \$ 29.93 | 2,585 | D |
| Common Stock | 04/06/2011 | | S | | 200 D \$ 69.5 | 2,385 | D |
| Common Stock | 04/07/2011 | | M | | 11,800 A \$ 29.93 | 14,185 | D |
| Common Stock | 04/07/2011 | | M | | 2,247 A \$ 13.1 | 16,432 | D |
| Common Stock | 04/07/2011 | | M | | 5,625 A \$ 13.21 | 22,057 | D |

Edgar Filing: COOPER COMPANIES INC - Form 4

| | | | | | | | |
|--------------|------------|---|--------|---|--------------------|-------|---|
| Common Stock | 04/07/2011 | S | 16,715 | D | \$ 69.55 (4) | 5,342 | D |
|--------------|------------|---|--------|---|--------------------|-------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Options (Right to Buy) | \$ 29.93 | 04/06/2011 | | M | 200 | (1) 03/16/2018 | Common Stock | 200 |
| Stock Options (Right to Buy) | \$ 29.93 | 04/07/2011 | | M | 11,800 | (1) 03/16/2018 | Common Stock | 11,800 |
| Stock Options (Right to Buy) | \$ 13.1 | 04/07/2011 | | M | 2,247 | (2) 12/10/2018 | Common Stock | 2,247 |
| Stock Options (Right to Buy) | \$ 13.21 | 04/07/2011 | | M | 5,625 | (3) 12/09/2018 | Common Stock | 5,625 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WEBER JOHN ARTHUR 6140 STONERIDGE MALL ROAD, SUITE 590 | | | President, CooperVision, Inc. | |

PLEASANTON, CA 94588

Signatures

/s/ John Weber

04/08/2011

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

One-fourth shall vest on the earlier of (a) 3/17/09 or (b) when the average closing price reaches \$33.52; one-fourth shall vest on the earlier of (a) 3/17/10 or (b) when the average closing price reaches \$35.92; one-fourth shall vest on the earlier of (a) 3/17/11 or (b) when the average closing price reaches \$38.91; and one-fourth shall vest on the earlier of (a) 3/17/12 or (b) when the average closing price reaches \$41.90. Average Closing Price is defined as the average of the closing prices of a share of common stock of the Company on the New York Stock Exchange (composite quotations, rounded to the nearest whole cent) for the days on which the common stock is so traded, during any 30 consecutive calendar day period commencing on the date the specified stock target is achieved.
- (1) 12/11/08 NQ Grant: The options will vest in equal increments over five years measured from the date of grant as follows: 1/5 shall vest on 12/11/09; 1/5 shall vest on 12/11/10; 1/5 shall vest on 12/11/11; 1/5 shall vest on 12/11/12; and 1/5 shall vest on 12/11/13.
- (2) 12/10/08 NQ Grant: The options will vest in equal increments over four years measured from the date of grant as follows: 1/4 shall vest on 12/10/09; 1/4 shall vest on 12/10/10; 1/4 shall vest on 12/10/11; and 1/4 shall vest on 12/10/12.
- (3) Sale price represents an average of the selling prices between \$69.51 and \$69.72.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.