## Edgar Filing: Egan Patricia N - Form 4

Egan Patricia Form 4	a N									
November 1	2, 2009									
FORM			GEGU						PPROVAL	
	UNITED	STATES		RITIES A Ashington			COMMISSIO	N OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5	ger <b>STATEN</b> 6. r		F CHANGES IN BENEFICIAL OV SECURITIES					Estimated burden hou response	urs per	
obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(	a) of the l	Public U	Jtility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940			
(Print or Type I	Responses)									
1. Name and A Egan Patrici	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer					
		HARRIS & HARRIS GROUP INC /NY/ [TINY]				(Check all applicable)				
(Last)				ate of Earliest Transaction nth/Day/Year)			Director 10% Owner X Officer (give title Other (specify			
				11/11/2009			below) below) Chief Accounting Officer			
				. If Amendment, Date Original iled(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YOR	K, NY 10019							More than One R		
(City)	(State)	(Zip)	Tał	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Domindor Don	ort on a concrete line	for anal al	ass of soc				ar indiractly			
Kenninder, Kep	ort on a separate line	ior cach ch	ass 01 sec	unites delle	Perso inform requir	ons who res nation cont red to resp ays a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible	Beneficially Owner securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Nui	mber	6. Date Exercisable and	7. Title and Am	nount of 8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Der	rivative	Expiration Date	Underlying Sec	curities [

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)			
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 4.49	11/11/2009		A	4,000		<u>(1)</u>	11/11/2014	Common Stock	4,000	

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Egan Patricia N 111 WEST 57TH STREET SUITE 1100 NEW YORK, NY 10019			Chief Accounting Officer		
Signatures					
/s/ Patricia N.	/11/2009				

Egan <u>\*\*</u>Signature of Date Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1,000 options vest on November 11, 2010; 2,000 options vest on November 11, 2011; and 1,000 options vest on November 11, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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