### Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 5

#### PRINCIPAL FINANCIAL GROUP INC

Form 5

February 14, 2008

#### FORM 5 OMB APPROVAL

_	UNITED STATES SECURITIES AND EXCHANGE COMMISSION						OMB Number:	3235-0362		
Check thi		<i>O</i> /							January 31,	
no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4  Section 17(a) of the Investment Company Act of 1940					Expires: 2005 Estimated average burden hours per response 1.0					
Transaction Reported										
	Address of Reporting I aniel Joseph	Symbol	Name <b>and</b> Tick PAL FINAL			]	5. Relationship of Issuer (Chec	f Reporting Per		
(Last)	(First) (M	_	ent for Issuer's ay/Year)	Fiscal Yea	ır End	-	Director _X_ Officer (give pelow)		6 Owner er (specify	
711 HIGH	STREET	12/19/20	307				Exec. VP	P, Ret. & Invst.	Svcs.	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				(	6. Individual or Joint/Group Reporting  (check applicable line)				
DES MOIN	NES, IA 50392					-	_X_ Form Filed by Form Filed by ? Person	One Reporting P More than One R		
(City)	(State)	(Zip) Tabl	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	(A) o l of (D	)	Securities Form: Dir Beneficially (D) or Owned at end Indirect (I of Issuer's (Instr. 4) Fiscal Year (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	12/19/2007	Â	G	1,500	D	\$ 0 (1)	18,878 (2)	D	Â	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Â

Â

Â

Â

Common

Stock

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

2,353

I

Â Â

> SEC 2270 (9-02)

By 401(k)

Plan

#### Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	ınt of	Derivative
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
		Derivative				Securities			(Instr.	3 and 4)	
		Security				Acquired					
		•				(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
							Date	Expiration		or	
							Exercisable Date	*	Title Nu	Number	
							Z.iciciouoie			of	
						(A) (D)				Shares	

of D

Is

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Houston Daniel Joseph 711 HIGH STREET DES MOINES, IA 50392	Â	Â	Exec. VP, Ret. & Invst. Svcs.	Â		

# **Signatures**

Joyce N. HoffmanAttorney-in-Fact 02/14/2008

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gift to AMG Charitable Gift Foundation.
- (2) Includes 3,347 shares acquired pursuant to the Principal Financial Group, Inc. Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2