CARNIVAL PLC Form 3 July 16, 2007

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

(Last)

A Bernstein David

(First)

(Middle)

Statement

(Month/Day/Year)

07/09/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CARNIVAL PLC [CUK]

(Check all applicable)

Sr. Vice President & CFO

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O CARNIVAL

CORPORATION, Â 3655 NW

87TH AVENUE

1. Title of Security

(Instr. 4)

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

MIAMI, FLÂ 33178

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Trust Shares (beneficial interest in special

voting share) (1)

0

D Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Ownership

1

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			(Instr. 4)			Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
	Date Exercisable	Expiration Date	Nu	Amount or Number of Shares			
Stock Option (Right to Buy)	01/11/2000 <u>(2)</u>	01/11/2009	Trust Shares (beneficial interest in special voting share) (1)	8,000	\$ 45.375	D	Â
Stock Option (Right to Buy)	01/26/2001(2)	01/26/2010	Trust Shares (beneficial interest in special voting share) (1)	10,000	\$ 43.5625	D	Â
Stock Option (Right to Buy)	12/02/2007	12/02/2012	Trust Shares (beneficial interest in special voting share) (1)	2,000	\$ 27.875	D	Â
Stock Option (Right to Buy)	10/13/2007(3)	10/13/2013	Trust Shares (beneficial interest in special voting share) (1)	4,800	\$ 34.45	D	Â
Stock Option (Right to Buy)	10/18/2005 <u>(2)</u>	10/18/2014	Trust Shares (beneficial interest in special voting share) (1)	12,000	\$ 49.085	D	Â
Stock Option (Right to Buy)	10/18/2006 <u>(2)</u>	10/18/2012	Trust Shares (beneficial interest in special voting share) (1)	12,000	\$ 46.61	D	Â

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Stock Option (Right to Buy)

Trust
Shares
(beneficial
interest in 12,000 \$ 47.83 D Â
special
voting
share) (1)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bernstein David
C/O CARNIVAL CORPORATION 3655 NW 87TH AVENUE
MIAMI. FLÂ 33178

Relationships

Other

Signatures

David Bernstein 07/12/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to
- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (2) Options vest ratably over five years beginning on the first anniversary of the grant date.
- (3) 2,400 options vest on each of October 13, 2007 and 2008.

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