

VICOR CORP  
Form 4  
November 05, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KELLEHER BARRY**

2. Issuer Name and Ticker or Trading Symbol  
**VICOR CORP [vicr]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**25 FRONTAGE ROAD**

3. Date of Earliest Transaction (Month/Day/Year)  
**11/03/2014**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President, Brick Business Unit

(Street)  
**ANDOVER, MA 01810**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(D)	Price		
Common Stock	11/03/2014		M		1,197	A	\$ 6.29	3,454	D
Common Stock	11/03/2014		M		5,000	A	\$ 5.35	8,454	D
Common Stock	11/03/2014		S		1,197	D	\$ 13.633	7,257	D
Common Stock	11/03/2014		S		5,000	D	\$ 13.633	2,257	D
Common Stock	11/04/2014		M		18,803	A	\$ 6.29	21,060	D

Edgar Filing: VICOR CORP - Form 4

Common Stock	11/04/2014	M	652	A	\$ 6.29	21,712	D
Common Stock	11/04/2014	M	855	A	\$ 6.29	22,567	D
Common Stock	11/04/2014	S	18,803	D	\$ 13.4238	3,764	D
Common Stock	11/04/2014	S	652	D	\$ 13.4238	3,112	D
Common Stock	11/04/2014	S	855	D	\$ 13.4238	2,257	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non Qualified Stock Option	\$ 6.29	11/03/2014		M		1,197		06/17/2014	06/17/2023	Common Stock	1,197
Non Qualified Stock Option	\$ 5.35	11/03/2014		M		5,000		<u>(1)</u>	05/14/2023	Common Stock	5,000
Non Qualified Stock Option	\$ 6.29	11/04/2014		M		18,803		06/17/2014	06/17/2023	Common Stock	18,803
Non Qualified Stock Option	\$ 6.29	11/04/2014		M		652		<u>(2)</u>	06/17/2023	Common Stock	652

Non Qualified Stock Option	\$ 6.29	11/04/2014		M	855	<u>(2)</u>	06/17/2023	Common Stock	855
-------------------------------------	---------	------------	--	---	-----	------------	------------	-----------------	-----

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KELLEHER BARRY 25 FRONTAGE ROAD ANDOVER, MA 01810	X		President, Brick Business Unit	

## Signatures

/s/Richard J. Nagel Jr. Attorney in Fact for Barry  
Kelleher

11/05/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted 5/14/2013 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan, vesting over a five year period.

(2) Granted 6/17/2013 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan, vesting over a five year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.