

ILLUMINA INC
Form 4
January 08, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

WALT DAVID R

(Last) (First) (Middle)

5200 ILLUMINA WAY

(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ILLUMINA INC [ILMN]

3. Date of Earliest Transaction

(Month/Day/Year)

01/06/2015

4. If Amendment, Date Original Filed

(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or (D) Price | | |
| Common Stock | 01/06/2015 | | M | | 4,000 (1) A \$ 6.225 | D | 860,930 |
| Common Stock | 01/06/2015 | | S | | 1,100 (1) D \$ 186.5695 (2) | D | 859,830 |
| Common Stock | 01/06/2015 | | S | | 1,100 (1) D \$ 187.8668 (3) | D | 858,730 |
| Common Stock | 01/06/2015 | | S | | 700 (1) D \$ 189.0786 (4) | D | 858,030 |

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| | | | | | | | | |
|--------------|------------|---|----------------|---|---------------------------|---------|---|-----------|
| Common Stock | 01/06/2015 | S | 600 <u>(1)</u> | D | \$ 190.3333 <u>(5)</u> | 857,430 | D | |
| Common Stock | 01/06/2015 | S | 500 <u>(1)</u> | D | \$ 191.61 <u>(6)</u> | 856,930 | D | |
| Common Stock | 01/06/2015 | S | 500 <u>(1)</u> | D | \$ 186.574 <u>(7)</u> | 78,460 | I | By Spouse |
| Common Stock | 01/06/2015 | S | 500 <u>(1)</u> | D | \$ 187.7205 <u>(8)</u> | 77,960 | I | By Spouse |
| Common Stock | 01/06/2015 | S | 400 <u>(1)</u> | D | \$ 188.8763 <u>(9)</u> | 77,560 | I | By Spouse |
| Common Stock | 01/06/2015 | S | 300 <u>(1)</u> | D | \$ 189.9 <u>(10)</u> | 77,260 | I | By Spouse |
| Common Stock | 01/06/2015 | S | 150 <u>(1)</u> | D | \$ 190.84 <u>(11)</u> | 77,110 | I | By Spouse |
| Common Stock | 01/06/2015 | S | 150 <u>(1)</u> | D | \$ 191.58 <u>(12)</u> | 76,960 | I | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Non-Qualified Stock Option (right to buy) | \$ 6.225 | 01/06/2015 | | M | 4,000 <u>(1)</u> | 06/07/2006 06/28/2015 | Common Stock | 4,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| WALT DAVID R 5200 ILLUMINA WAY SAN DIEGO, CA 92122 | | X | | |

Signatures

| | |
|--------------------------------------|------------|
| Scott M. Davies for David R. Walt | 01/08/2015 |
|--------------------------------------|------------|

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was made pursuant to a 10b5-1 plan.
 - (2) Weighted average sale price representing 1,100 shares sold ranging from \$186.31 to \$186.88 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (3) Weighted average sale price representing 1,100 shares sold ranging from \$187.42 to \$188.38 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (4) Weighted average sale price representing 700 shares sold ranging from \$188.75 to \$189.48 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (5) Weighted average sale price representing 600 shares sold ranging from \$189.81 to \$190.66 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (6) Weighted average sale price representing 500 shares sold ranging from \$191.35 to \$192.17 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (7) Weighted average sale price representing 500 shares sold ranging from \$186.28 to \$186.88 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (8) Weighted average sale price representing 500 shares sold ranging from \$187.415 to \$187.90 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (9) Weighted average sale price representing 400 shares sold ranging from \$188.425 to \$189.29 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (10) Weighted average sale price representing 300 shares sold ranging from \$189.47 to \$190.33 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (11) Weighted average sale price representing 150 shares sold ranging from \$190.51 to \$191.50 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
 - (12) Weighted average sale price representing 150 shares sold ranging from \$191.52 to \$191.61 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.