McLaughlin Gerald W. Form 3 June 28, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A McLaughlin Gerald W.

(Last)

(First)

(Street)

C/O NEOS THERAPEUTICS,

INC., 2940 N. HWY 360

(Middle)

Statement

(Month/Day/Year)

06/27/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Neos Therapeutics, Inc. [NEOS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Chief Executive Officer

\_X\_ Director \_X\_\_ Officer (give title below) (specify below)

6. Individual or Joint/Group 10% Owner Other Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

4. Nature of Indirect Beneficial

Form filed by More than One Reporting Person

**GRAND** 

PRAIRIE. TXÂ 75050

(City) 1. Title of Security

(Instr. 4)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

**Expiration Title** Date

Amount or Number of Shares

Derivative Security

Direct (D) or Indirect (I)

Security:

1

(Instr. 5)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

McLaughlin Gerald W.

C/O NEOS THERAPEUTICS, INC. 2940 N. HWY 360

GRAND PRAIRIE, TXÂ 75050

ÂX

Â

Chief Executive Officer Â

**Signatures** 

/s/ Richard Eisenstadt, attorney in fact

06/28/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

### No securities are beneficially owned

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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