**NEVRO CORP** Form 4

November 12, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person PLIAM NATHAN B	2. Issuer Name and Ticker or Trading Symbol NEVRO CORP [NVRO]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) (First) (Middle)	3. Date of Earliest Transaction	(Chech an appheasie)				
	(Month/Day/Year)	X Director 10% Owner				
C/O NEVRO CORP., 4040 CAMPBELL AVENUE	11/12/2014	Officer (give title below)  Other (specification)				
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check				
MENLO PARK, CA 94025	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
, <b></b> , <b></b>		Person				

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Approx Disposed Gamma (Instr. 3, 4 an	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	11/12/2014		С	1,272,841 (1) (2)	A	<u>(2)</u>	1,296,293 (1)	I	See footnotes (3) (4)		
Common Stock	11/12/2014		C	682,826 (1) (5)	A	<u>(5)</u>	1,979,119 (1)	I	See footnotes (3) (4)		
Common Stock	11/12/2014		C	191,314 (1) (6)	A	<u>(6)</u>	2,170,433 (1)	I	See footnotes (3) (4)		
Common Stock	11/12/2014		С	27,435 <u>(1)</u> <u>(2)</u>	A	<u>(2)</u>	27,940 (1)	I	See footnotes		

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								(7) (4)
Common Stock	11/12/2014	С	14,718 (1) (5)	A	<u>(5)</u>	42,658 <u>(1)</u>	I	See footnotes (7) (4)
Common Stock	11/12/2014	C	4,123 <u>(1)</u> <u>(6)</u>	A	<u>(6)</u>	46,781 <u>(1)</u>	I	See footnotes (7) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock	<u>(2)</u>	11/12/2014		C		1,272,841 (1)	<u>(8)</u>	<u>(9)</u>	Common Stock	1,272,84 (1) (2)
Series B Preferred Stock	(5)	11/12/2014		C		682,826 (1)	<u>(8)</u>	<u>(9)</u>	Common Stock	682,826 (1) (5)
Series C Preferred Stock	<u>(6)</u>	11/12/2014		C		191,314 (1)	(8)	<u>(9)</u>	Common Stock	191,314 (1) (6)
Series A Preferred Stock	(2)	11/12/2014		C		27,435 (1)	(8)	<u>(9)</u>	Common Stock	27,435 (1) (2)
Series B Preferred Stock	(5)	11/12/2014		C		14,718 (1)	(8)	<u>(9)</u>	Common Stock	14,718 (1) (5)
Series C Preferred Stock	<u>(6)</u>	11/12/2014		C		4,123 (1)	(8)	<u>(9)</u>	Common Stock	4,123 <u>(1)</u>

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PLIAM NATHAN B C/O NEVRO CORP. 4040 CAMPBELL AVENUE MENLO PARK, CA 94025

X

## **Signatures**

/s/ Nathan Pliam 11/12/2014

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a 1-for-24 reverse stock split of the Issuer's outstanding securities effected prior to the effectiveness of the Issuer's S-1 Registration Statement.
- (2) Each share of Series A Preferred Stock was automatically converted on a 1-for-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- (3) Shares held by Bay City Capital Fund IV, L.P.
  - Bay City Capital Management IV ("BCCM IV") is the General Partner of Bay City Capital Fund IV, L.P. ("BCCF") and Bay City Capital Fund IV Co-Investment Fund, L.P. ("BCCF Co-Investment Fund") and Bay City Capital LLC ("BCC") is the Manager of BCCM IV.
- (4) BCCM IV and BCC may be deemed to have sole voting and investment power over the shares held by BCCF and BCCF Co-Investment Fund however hold no securities of the Issuer directly. Nathan Pliam, a Venture Partner of BCC, is a member of the Issuer's Board of Directors. Mr. Pliam disclaims beneficial ownership of such shares, except to the extent of his pecuniary interest therein.
- (5) Each share of Series B Preferred Stock was automatically converted on a 1-for-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- (6) Each share of Series C Preferred Stock was automatically converted on a 1-for-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- (7) Shares held by Bay City Capital Fund IV Co-Investment Fund, L.P.
- (8) The shares are immediately convertible.
- (9) The shares do not have an expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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