

CHARTER COMMUNICATIONS, INC. /MO/
Form 3
November 06, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Liberty Broadband Corp</p> <p>(Last) (First) (Middle)</p> <p>LIBERTY BROADBAND CORPORATION,Â 12300 LIBERTY BOULEVARD</p> <p>(Street)</p> <p>ENGLEWOOD,Â COÂ 80112</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>11/04/2014</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>CHARTER COMMUNICATIONS, INC. /MO/ [CHTR]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p>___ Director <input checked="" type="checkbox"/> 10% Owner ___ Officer ___ Other (give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p>___ Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|
| Class A Common Stock, par value \$.001 per share | 27,755,422 | I | Held through wholly-owned subsidiaries |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

| | Date Exercisable | Expiration Date | (Instr. 4) | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-------------------------|---------------------------|-----------------|--|----------------------------|------------------------------|--|--------------------------------------|
| | | | Title | | | | |
| Warrants (right to buy) | 11/04/2014 ⁽¹⁾ | 11/30/2014 | Class A Common Stock, par value \$.001 per share | 947,094 | \$ 46.86 | I | Held through wholly-owned subsidiary |
| Warrants (right to buy) | 11/04/2014 ⁽¹⁾ | 11/30/2014 | Class A Common Stock, par value \$.001 per share | 136,202 | \$ 51.28 | I | Held through wholly-owned subsidiary |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Liberty Broadband Corp LIBERTY BROADBAND CORPORATION 12300 LIBERTY BOULEVARD ENGLEWOOD, CO 80112 | Â | Â X | Â | Â |

Signatures

Liberty Broadband Corporation By: /s/ Richard N. Baer, Senior Vice President and General Counsel

11/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The warrants were immediately exercisable upon their acquisition by the Reporting Person on November 4, 2014, and remain exercisable until November 30, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.