Information Services Group Inc.

Form 4 July 03, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number: January 31,

Expires: 2005

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Estimated average response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad Berger David	•	rting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			Information Services Group Inc. [III]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
TWO STAMFORD PLAZA, 281 TRESSER BOULEVARD			(Month/Day/Year) 07/01/2013	Director 10% Owner Officer (give title Other (specify below) Executive VP and CFO			
(Street) STAMFORD, CT 06901			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Toble I Non Donivetive Securities Acc	wined Disposed of an Panaficially Owned			

(City)	(State)	Zip) Table	e I - Non-D	erivative S	ecurities A	equired, Disposed	of, or Beneficia	lly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Acquire	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Dis	sposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	4 and 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
				/ A N		Reported		
					(A)	Transaction(s)		
			C = V	A	or	(Instr. 3 and 4)		
<b>a</b> 1 a			Code V	Amount	(D) Pric	9		
Shares of				65,000				
Common	07/01/2013		A	(1)	A \$0	390,000 <u>(2)</u>	D	
Stock				(1)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Information Services Group Inc. - Form 4

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities	3		(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date	Expiration		or		
							Exercisable	Date	Title	Number		
										of		
					Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Berger David E. TWO STAMFORD PLAZA 281 TRESSER BOULEVARD STAMFORD, CT 06901

Executive VP and CFO

## **Signatures**

/s/ David E. 07/03/2013 Berger

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units granted to the reporting person pursuant to the Information Services Group, Inc. Amended and Restated 2007 Equity Incentive Plan, which will vest 100% upon the earlier of (a) July 1, 2017 and (b) the date upon which the closing price of the Issuer's common stock, as listed on the NASDAQ Global Market (or such other stock exchange on which the Issuer's common Stock is then traded), exceeds \$3.50 for three (3) consecutive trading days.
- Includes shares issuable in settlement of (a) 37,500 restricted stock units previously granted on January 7, 2011, which vest in equal (2) installments on January 7, 2014 and January 7, 2015 and (b) 31,250 restricted stock units previously granted on October 5, 2009, which vest on October 5, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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