#### Edgar Filing: Rockwood Holdings, Inc. - Form 4

Rockwood Holdings, Inc. Form 4 November 20, 2007

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **RAETHER PAUL E** Issuer Symbol Rockwood Holdings, Inc. [ROC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X\_\_ 10% Owner

11/16/2007

4. If Amendment, Date Original

Filed(Month/Day/Year)

### C/O KOHLBERG KRAVIS ROBERTS & CO., L.P., 9 WEST 57TH STREET, 41ST FLOOR

(Street)

#### NEW YORK, NY 10019

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Sec	curities	s Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
G			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, par value \$0.01 per share	11/16/2007		S	1,091,788	D	\$ 34 (1)	3,354,108	I	See Footnote (2) (8)
Common Stock, par value \$0.01 per share	11/16/2007		S	3,866,549	D	\$ 34 (1)	15,272,367	I	See Footnote (3) (8)
	11/16/2007		S	33,189	D		131,093	Ι	

\_ Other (specify

below)

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Officer (give title

below)

Person

Applicable Line)

### Edgar Filing: Rockwood Holdings, Inc. - Form 4

Common Stock, par value \$0.01 per share					\$ 34 (1)			See Footnote (4) (8)
Common Stock, par value \$0.01 per share	11/16/2007	S	80,553	D	\$ 34 (1)	318,174	I	See Footnote (5) (8)
Common Stock, par value \$0.01 per share	11/16/2007	S	2,694,553	D	\$ 34 (1)	10,643,136	I	See Footnote (6) (8)
Common Stock, par value \$0.01 per share						89,711	Ι	See Footnote (7) (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title c Derivativ Security (Instr. 3)	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

**Reporting Owner Name / Address** 

#### Relationships

securities held by KKR Millennium Fund L.P. As a member of KKR Millennium Fund GP LLC, the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

These securities are held of record by KKR 1996 Fund L.P. As the sole general partner of KKR 1996 Fund L.P., KKR Associates 1996 L.P. may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P. As the sole general partner of KKR

(3) Associates 1996 L.P., KKR 1996 GP LLC also may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P. As a member of KKR 1996 GP LLC, the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P.

These securities are held of record by KKR Partners II, L.P. As the general partner of KKR Partners II, L.P., KKR Associates (Strata) L.P. may be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P. As the sole general partner of KKR

(4) Associates (Strata) L.P., Strata L.L.C. also may be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P. As a member of Strata L.L.C. and a general partner of KKR Associates, L.P., the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P.

These securities are held of record by KKR Partners III, L.P. (Series F). As the sole general partner of KKR Partners III, L.P., KKR III GP LLC may be deemed to be the beneficial owner of such securities held by KKR Partners III, L.P. (Series F). As a member of KKR III

(5) GP LLC, the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR Partners III, L.P. (Series F).

The securities are held of record by KKR European Fund, Limited Partnership. As the sole general partner of KKR European Fund, Limited Partnership, KKR Associates Europe, Limited Partnership may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe

(6) Limited also may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership. As a director of KKR Europe Limited, the Reporting Person also may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership.

The securities are held of record by Aurora Investments II, LLC. As both a member of Aurora Investments II, LLC and a general partner (7) of a limited partnership which is a member of Aurora Investments II, LLC, the Reporting Person may be deemed to be the beneficial owner of such securities held by Aurora Investments II, LLC.

The filing of this statement shall not be deemed an admission that, for the purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise, the Reporting Person is the beneficial owner of any equity securities covered by this statement. The Reporting

(8) Person disclaims beneficial ownership of the securities that may be deemed to be beneficially owned by him, except to the extent of his own pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

3

## Edgar Filing: Rockwood Holdings, Inc. - Form 4

Director 10% Owner Officer Other

**RAETHER PAUL E** C/O KOHLBERG KRAVIS ROBERTS & CO., L.P. 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019

/s/ William J. Janetschek, by power of

\*\*Signature of Reporting Person

**Explanation of Responses:** 

Signatures

attorney

\*\*

X

11/20/2007 Date

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are held of record by KKR Millennium Fund L.P. As the sole general partner of KKR Millennium Fund L.P., KKR Associates Millennium L.P. may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P. As the sole

(1) Represents price to the public pursuant to an underwritten offering before underwriting discounts and commissions.