Edgar Filing: PRUETT CARROL R - Form 4

PRUETT CARROL R

Form 4

April 21, 2003

FORM 4

o Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

			6. Relationship of Reporting Person(s) to Issuer			
			(Check all applicable)			
Name and Address of Reporting Person *			X Director			
Pruett Carrol R.	Issuer Name and Ticker or Trading Symbol	4. Statement for	_ Officer (give title below)			
(Last) (First) (Middle)	Mid-State Bancshares MDST	Month/Day/Year April 15, 2003	_ 10% Owner			
1026 East Grand Avenue			_ Other (specify below)			
(Street)	3. I.R.S. Identification Number of Reporting Person, if an entity	5. If Amendment, Date of Original				
Arroyo Grande CA 93420 (City) (State) (Zip)	(voluntary) N/A	(Month/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
		17/1	Form filed by More than One Reporting Person			

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security	2. Transaction Date (Month/Day/	2A. Deemed Execution Date, if any (Month/Day/ Year)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Owned Following Reported Transaction(s)	Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
-------------------	---------------------------------------	---	--	---	--	----------------------------------	---

N/A 1

Edgar Filing: PRUETT CARROL R - Form 4

1 1	n i	1 1						l I	1 1	1 1
Common Stock	04/15/2003		P		20.67636	A	\$17.889997	200,134.685250 Shrs	D/401(k) Plan	
Common Stock	04/15/2003		P	V	1.62325 Amount	(A) A (D)	\$17,890016 Price	200,136.308500 Shrs	D/401(k) Plan	
Common Stock	04/15/2003		P		4.74399	A	\$17.890004	200,141.052490 Shrs	D/401(k) Plan	

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/ Year)	3A. Deemed Execution Date, if any (Month/Day/ Year)	4. Trans Code (Instr.	. 8)	Secu Acq (A) Disp onf (I (Inst 4 an	vativarities uired or bosed D) ar. 3, d 5)	;	ate	int of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	10. Ownership Form of Derivative Securities: Direct (D) or Indirect (I) (Instr. 4)	11. Natur of Indirec Beneficia Ownersh (Instr. 4)

xplanation of Responses:	
tividends reinvested into 401(k) account.	

/s/ Carrol R. Pruett 04/18/2003

Edgar Filing: PRUETT CARROL R - Form 4

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure.
http://www.sec.gov/divisions/corpfin/forms/form4.htm
Last update: 09/05/2002

** Signature of Reporting Person