

SECURITY NATIONAL FINANCIAL CORP

Form 4

April 25, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MOODY H. CRAIG

(Last) (First) (Middle)

**11892 SOUTH BROOKGLEN
DRIVE**

(Street)

SANDY, UT 84092

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
**SECURITY NATIONAL
FINANCIAL CORP [SNFCA]**

3. Date of Earliest Transaction
(Month/Day/Year)
02/03/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	02/03/2017		J(1)	V	352	A	\$ 7.03
							7,394
Class A Common Stock	02/03/2017		J(2)	V	70	A	\$ 7.03
							1,477

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the form
displays a currently valid OMB control**

SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 2.27 ⁽³⁾	12/07/2007		A		1,630 <u>(3)</u>		12/07/2008	12/07/2017	Class A Common Stock	1,630 <u>(3)</u>
Director Stock Option (right to buy)	\$ 2.45 ⁽⁴⁾	03/31/2008		A		3,880 <u>(4)</u>		03/31/2009	03/31/2018	Class A Common Stock	3,880 <u>(4)</u>
Director Stock Option (right to buy)	\$ 0.95 ⁽⁵⁾	12/05/2008		A		7,757 <u>(5)</u>		12/05/2009	12/05/2018	Class A Common Stock	7,757 <u>(5)</u>
Director Stock Option (right to buy)	\$ 0.9 ⁽⁶⁾	12/07/2008		A		1,552 <u>(6)</u>		12/07/2009	12/07/2018	Class A Common Stock	1,552 <u>(6)</u>
Director Stock Option (right to buy)	\$ 2.36 ⁽⁷⁾	12/04/2009		A		7,388 <u>(7)</u>		12/04/2010	12/04/2019	Class A Common Stock	7,388 <u>(7)</u>
Director Stock Option (right to buy)	\$ 2.36 ⁽⁸⁾	12/07/2009		A		1,478 <u>(8)</u>		12/07/2010	12/07/2019	Class A Common Stock	1,478 <u>(8)</u>

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Director Stock Option (right to buy)	\$ 1.29 ⁽⁹⁾	12/03/2010	A	7,036 ⁽⁹⁾	12/03/2011	12/03/2020	Class A Common Stock	7,036 ⁽⁹⁾
Director Stock Option (right to buy)	\$ 1.29 ⁽¹⁰⁾	12/07/2010	A	1,408 ⁽¹⁰⁾	12/07/2011	12/07/2020	Class A Common Stock	1,408 ⁽¹⁰⁾
Director Stock Option (right to buy)	\$ 0.96 ⁽¹¹⁾	12/02/2011	A	6,701 ⁽¹¹⁾	12/02/2012	12/02/2021	Class A Common Stock	6,701 ⁽¹¹⁾
Director Stock Option (right to buy)	\$ 0.96 ⁽¹²⁾	12/07/2011	A	1,341 ⁽¹²⁾	12/07/2012	12/07/2021	Class A Common Stock	1,341 ⁽¹²⁾
Director Stock Option (right to buy)	\$ 1.21 ⁽¹³⁾	04/13/2012	A	6,382 ⁽¹³⁾	04/13/2013	04/13/2022	Class A Common Stock	6,382 ⁽¹³⁾
Director Stock Option (right to buy)	\$ 5.75 ⁽¹⁴⁾	12/07/2012	A	1,277 ⁽¹⁴⁾	12/07/2013	12/07/2022	Class A Common Stock	1,277 ⁽¹⁴⁾
Director Stock Option (right to buy)	\$ 3.95 ⁽¹⁵⁾	12/06/2013	A	5,885 ⁽¹⁵⁾	12/06/2014	12/06/2023	Class A Common Stock	5,885 ⁽¹⁵⁾
Director Stock Option (right to buy)	\$ 3.95 ⁽¹⁶⁾	12/07/2013	A	1,216 ⁽¹⁶⁾	12/07/2014	12/07/2023	Class A Common Stock	1,216 ⁽¹⁶⁾
Director Stock Option (right to buy)	\$ 4.3 ⁽¹⁷⁾	12/05/2014	A	5,789 ⁽¹⁷⁾	12/05/2015	12/05/2024	Class A Common Stock	5,789 ⁽¹⁷⁾
	\$ 4.3 ⁽¹⁸⁾	12/07/2014	A		12/07/2015	12/07/2024		

Director Stock Option (right to buy)				1,158 (18)				Class A Common Stock	1,158 (18)
Director Stock Option (right to buy)	\$ 6.06 (19)	12/04/2015	A	5,513 (19)	12/04/2016	12/04/2025		Class A Common Stock	5,513 (19)
Director Stock Option (right to buy)	\$ 6.06 (20)	12/07/2015	A	1,103 (20)	12/07/2016	12/07/2025		Class A Common Stock	1,103 (20)
Director Stock Option (right to buy)	\$ 6.68 (21)	12/02/2016	A	5,250 (21)	12/02/2017(21)	12/02/2026		Class A Common Stock	5,250 (21)
Director Stock Option (right to buy)	\$ 6.99 (22)	12/07/2016	A	1,050 (22)	12/07/2017(22)	12/07/2026		Class A Common Stock	1,050 (22)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MOODY H. CRAIG 11892 SOUTH BROOKGLEN DRIVE SANDY, UT 84092	X			

Signatures

/s/H. Craig
Moody 04/21/2017

**Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received pursuant to a stock dividend paid on February 3, 2017.
- (2) The reporting person disclaims ownership of these securities, and this report should not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or any other purpose.

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- This option was granted on December 5, 2014 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock
- (17) Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015, February 5, 2016 and February 3, 2017.

- This option was granted on December 7, 2014 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock
- (18) Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015, February 5, 2016 and February 3, 2017.

- This option was granted on December 4, 2015 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock
- (19) Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 5, 2016 and February 3, 2017.

- This option was granted on December 7, 2015 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock
- (20) Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 5, 2016 and February 3, 2017.

- This option was granted on December 2, 2016 as an option for 5,000 shares of Class A Common Stock under the 2014 Director Stock
- (21) Option Plan at an exercise price of \$7.03 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 3, 2017. The option vests on December 2, 2017.

- This option was granted on December 7, 2016 as an option for 1,000 shares of Class A Common Stock under the 2014 Director Stock
- (22) Option Plan at an exercise price of \$7.36 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 3, 2017. The option vests on December 7, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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