## Edgar Filing: Gupta Ashwini - Form 4

Gupta Ashwini Form 4										
March 04, 2019	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION box Washington, D.C. 20549 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040								OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5	
Check this be if no longer subject to Section 16. Form 4 or Form 5 obligations may continue <i>See</i> Instruction 1(b).										
Gupta Ashwini Symb			ORE CAPITAL GROUP INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 3111 CAMINO SUITE 103		(Month/	of Earliest Tra Day/Year) 2019	insaction			X Director Officer (give below)		o Owner er (specify	
(Street) 4. If Amendment, Date Origi Filed(Month/Day/Year)				-	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				erson	
SAN DIEGO, C		<sup>(ip)</sup> Tal	de I - Non-D	erivative S	Securi	ties Acc	Person Puired, Disposed o			
	. Transaction Date Month/Day/Year)		3. Transactio Code ) (Instr. 8)	4. Securit onAcquired Disposed	ties l (A) o l of (D	er P)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Common 0. Stock 0.	3/01/2019		A	772 <u>(1)</u>			25,994	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Gupta Ashwini 3111 CAMINO DEL RIO NORTH, SUITE 103 SAN DIEGO, CA 92108	Х					
Signatures						
/s/ Michael Chin, Attorney-in-Fact for Ashwini Gupta		03/04/2019				
**Signature of Reporting Person		Date				
Explanation of Posponsos:						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant to the reporting person, for service on the Board of Directors of Encore Capital Group, Inc. ("Encore"), of deferred stock units under the terms of a non-employee director deferred compensation plan established under the 2017 Incentive Award Plan. Deferred stock

(1) units will be converted into shares of Encore common stock on a one-for-one basis upon distribution. The distribution of shares of common stock will occur within 10 business days following the fifth anniversary of the date the reporting person is no longer a member of the Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.