

ALLIANT ENERGY CORP
Form U-9C-3
May 25, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended March 31, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
222 West Washington Avenue
Madison, Wisconsin 53703
608-252-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3
For the Quarter Ended March 31, 2001

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

CONTENTS

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

ITEM 1 - ORGANIZATION CHART
(As of March 31, 2001)

Name of Company (Organization Chart Hierarchy) (Reporting companies denoted with (**))	Energy- or Gas-Related Company	Date of Organization	St Orga
Alliant Energy Corporation	N/A	N/A	
Cargill-Alliant, L.L.C. (**)	Energy	10/29/97	Wi
Alliant Energy Resources, Inc.	N/A	N/A	
Alliant Energy Development, LLC	N/A	N/A	
Argo Power LLC (**) (*)	Energy	6/5/00	De
Henwood Energy Services, Inc. (**)	Energy	8/9/85	Cal
Energy Performance Services, Inc. (**)	Energy	12/17/93	Penn
Cogenex Corporation (**)	Energy	9/26/83	Mass
APS Cogenex LLC (**)	Energy	9/29/85	De
Energy Capital and Services I, LP (**)	Energy	5/4/90	Mass
Energy Capital and Services II, LP (**)	Energy	11/13/90	Mass
Energy Holdings Corporation (**)	Energy	10/3/94	De

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

EUA Cogenex West Corporation (**)	Energy	9/26/83	Mass
EUA Cogenex-Canada Inc. (**)	Energy	10/11/94	C
EUA Cogenex-Canada Energy Services, Inc. (**)	Energy	12/16/97	C
AGRA Cogenex Joint Venture (**)	Energy	7/8/97	C
Northeast Energy Management, Inc. (**)	Energy	1/7/94	Mass
Enermetrix.com, Inc. (**)	Energy	1/17/95	De
Nth Power Technologies Fund II, L.P. (**)	Energy	2/25/00	Cal
SmartEnergy, Inc. (**)	Energy	11/3/00	De
Alliant Energy Integrated Services Company	N/A	N/A	
Industrial Energy Applications, Inc. (**)	Energy	2/2/62	
BFC Gas Company L.L.C. (**)	Energy	12/7/95	
Energys, Inc. (**)	Energy	6/25/84	Wi
RMT, Inc. (**)	Energy	7/29/83	Wi
Residuals Management Technology, Inc., Ohio (**)	Energy	1/22/97	
RMT North Carolina, Inc. (**)	Energy	4/6/69	North
RMT, Inc., Michigan (**)	Energy	12/15/95	Mi
RMT International, Inc. (**)	Energy	10/9/97	Wi
Lake Engineering Inc. (**) (NEW)	Energy	9/2/82	G
Heartland Energy Group, Inc. (**)	Energy	6/1/95	Wi
Industrial Energy Applications Delaware Inc.	N/A	N/A	
Oak Hill Pipeline L.P. (**)	Energy	4/1/97	
Alliant South Texas Pipeline, L.P. (**)	Energy	4/20/99	
Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	2/14/00	De

3

Name of Company (Organization Chart Hierarchy) (Reporting companies denoted with (**))	Energy- or Gas-Related Company	Date of Organization	St Orga
Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field			

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Services, LLC)	Energy	8/31/00	
Alliant Energy Field Services, LLC	N/A	N/A	
Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	2/14/00	De
Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	8/31/00	
NG Energy Trading, LLC (**)	Energy	9/26/00	Ok
Alliant Energy Integrated Services - Energy Solutions LLC (**) (NEW)	Energy	1/1/01	
Schedin & Associates, Inc. (**)	Energy	6/22/82	Mi
Alliant Energy Integrated Services - Energy Management LLC (**) (NEW)	Energy	1/1/01	
Alliant Energy Investments, Inc.	N/A	N/A	
Heartland Energy Services, Inc.	N/A	N/A	
ReGENco L.L.C. (**)	Energy	4/19/99	Wi
Alliant Energy Transportation, Inc.	N/A	N/A	
Williams Bulk Transfer Inc. (**)	Energy	4/27/99	

N/A - Not applicable

(a) The following abbreviations were used:

Abbreviation	Nature of Business
(i)	Energy management services
(ii)	Development and commercialization of innovative electrotechnologies
(v) - 1	Brokering and marketing of energy commodities - natural gas
(v) - 2	Brokering and marketing of energy commodities - electricity
(v) - 3	Brokering and marketing of energy commodities - natural gas and electricity
(vi)	Production of alternative fuels
(vii) - 1	Environmental licensing, testing, and remediation services
(vii) - 2	Integrated turbine and generator services
(ix) - 1	Fuel transportation facilities services
(ix) - 2	Fuel handling and storage facilities services

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:
Investment level at March 31, 2001

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	Whom
Alliant Energy Desdemona, LP	Partnership capital	\$1,935	Industrial Energy
	Partnership capital	\$2	Alliant Energy F
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$12,005	Alliant Energy R
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$1,200	Alliant Energy R
Alliant South Texas Pipeline, L.P.	Partnership capital	\$6,346	Industrial Energy
Bastian Bay Pipeline, LP	Partnership capital	\$415	Industrial Energy
BFC Gas Company L.L.C.	Capital	\$2,600	Industrial Energy
Cargill-Alliant, L.L.C.	Capital	\$5,000	Alliant Energy C
Cogenex Corporation (Incl. subsidiaries)	Capital	\$60,000	Alliant Energy R
Energys, Inc.	Capital	\$8,685	Industrial Energy
	Money pool borrowings	\$707	Alliant Energy R
Energy Performance Services, Inc.	Capital	\$12,494	Alliant Energy R
Enermetrix.com, Inc.	Preferred stock	\$10,040	Alliant Energy R
Heartland Energy Group, Inc.	Common stock	\$16,100	Alliant Energy I
	Money pool borrowings	\$2,961	Alliant Energy R
Henwood Energy Services, Inc.	Common stock	\$1,342	Alliant Energy R
Industrial Energy Applications, Inc.	Common stock	\$9,971	Alliant Energy I
	Money pool borrowings	\$38,523	Alliant Energy R
Lake Engineering Inc.	Capital	\$2,400	RMT, Inc.(1)
NG Energy Trading, LLC	Capital	\$2,250	Heartland Energy
Nth Power Technologies Fund II, L.P.	Partnership capital	\$3,500	Alliant Energy R
Oak Hill Pipeline L.P.	Partnership capital	\$4,408	Industrial Energy
ReGENco L.L.C.	Class A Units	\$1,083	Heartland Energy
	Class B Units	\$667	Heartland Energy
RMT, Inc. (Incl. all subsidiaries except Lake Engineering Inc.)	Common stock	\$11,822	Alliant Energy I
Schedin & Associates, Inc.	Money pool borrowings	\$965	Alliant Energy R
SmartEnergy, Inc.	Preferred stock	\$6,500	Alliant Energy R
Williams Bulk Transfer Inc.	Common stock	\$1	Alliant Energy T
	Money pool borrowings	\$5,131	Alliant Energy R

(1) Associate company.

ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended March 31, 2001

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousand
IEA	Whiting	Gas marketing	\$8	\$-
RMT	WP&L	Environmental consulting	21	-

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

RMT	IEA	Environmental consulting	105	-
RMT	IESU	Environmental consulting	1	-
RMT	Barge	Environmental consulting	6	-
RMT	Resources	Environmental consulting	6	-
RMT	IPC	Environmental consulting	1	-
Williams	Cargill-Alliant	Coal handling	193	-

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company	Reporting Company	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)
IESU	Cargill-Alliant	Coal Sales	\$330	\$-
IESU	IEA	Fossil plant operations	1	-
RMT	IEA	Environmental consulting	105	-
Williams	Cargill-Alliant	Coal handling	193	-

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
Barge	IEI Barge Services, Inc.	Resources	Alliant Energy
Cargill-Alliant	Cargill-Alliant, L.L.C.	RMT	RMT, Inc.
IEA	Industrial Energy Applications, Inc.	Whiting	Whiting Petroleum
IESU	IES Utilities Inc.	Williams	Williams Bros.
IPC	Interstate Power Company	WP&L	Wisconsin Power

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of March 31, 2001 (a)

Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)

Greater of \$50 million or total capitalization multiplied by 15% (Line 2)

Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Energy-related business category i
 Energy-related business category ii
 Energy-related business category iii
 Energy-related business category iv
 Energy-related business category v
 Energy-related business category vi
 Energy-related business category vii
 Energy-related business category viii
 Energy-related business category ix
 Energy-related business category x

Total current aggregate investment

 Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system
 (Line 3 less Line 4)

Investments in gas-related companies:

 Total current aggregate investment (categorized by major line of gas-related business):

Gas-related business category i
 Gas-related business category ii
 Total current aggregate investment _____

 (a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current short-term debt (variable rate demand bonds, commercial paper, notes payable and other short

ITEM 5 - OTHER INVESTMENTS
 (Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reason for Difference In Other Investments
Energy-related business category i*	\$48,475	\$48,475	No change.
Energy-related business category v*	\$8,289	\$8,289	No change.
Energy-related business category vi*	\$2,569	\$2,569	No change.
Energy-related business category vii*	\$11,822	\$11,822	No change.
Energy-related business category ix*	\$4,705	\$4,408	In the first quarter made a distribution Applications Delaw

 * Item 5 includes aggregate investments that were invested or committed to be

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

7

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 25th day of May 2001.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Corporate Controller and Chief Accounting Officer
(Principal Accounting Officer)

8