

MASON THEODORE S
Form 3/A
November 02, 2004

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | | |
|---|---------|----------|--------------------------------------|--|---|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | | 3. Issuer Name and Ticker or Trading Symbol | |
| MASON THEODORE S | | | (Month/Day/Year) | | UMPQUA HOLDINGS CORP [UMPQ] | |
| (Last) | (First) | (Middle) | 07/10/2004 | | 4. Relationship of Reporting Person(s) to Issuer | |
| 200 SW MARKET STREET, SUITE 1900 | | | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| (Street) | | | | | 07/15/2004 | |
| PORTLAND, OR 97201 | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| (City) | (State) | (Zip) | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

(Check all applicable)

Director 10% Owner
 Officer Other
 (give title below) (specify below)

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Class A Common Stock | 48,882 | D | |
| Class A Common Stock | 290 | I | By Custodian For Child |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|------------------------------------|---------------------------------|--|
|---|---|--|------------------------------------|---------------------------------|--|

Edgar Filing: MASON THEODORE S - Form 3/A

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|-----------------|-------------------------|----------------------------|---------------------|---|---|
| Stock Option (Right to Buy) | Â <u>(1)</u> | 11/10/2004 | Class A Common Stock | 35,926 | \$ 2.63 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 11/16/2004 | Class A Common Stock | 5,315 | \$ 2.63 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 03/20/2005 | Class A Common Stock | 15,943 | \$ 2.71 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 01/01/2006 | Class A Common Stock | 9,662 | \$ 3.31 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 02/18/2007 | Class A Common Stock | 8,785 | \$ 4.96 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 05/09/2008 | Class A Common Stock | 7,986 | \$ 7.38 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 01/21/2009 | Class A Common Stock | 7,260 | \$ 7.03 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 09/23/2009 | Class A Common Stock | 8,704 | \$ 10.85 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 03/19/2010 | Class A Common Stock | 1,760 | \$ 9.47 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 03/29/2010 | Class A Common Stock | 26,736 | \$ 9.47 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 03/23/2011 | Class A Common Stock | 1,100 | \$ 7.2 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 06/26/2013 | Class A Common Stock | 2,000 | \$ 14.65 | D | Â |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

