

JONES STEVEN C
Form 4
August 01, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
JONES STEVEN C

2. Issuer Name and Ticker or Trading Symbol
NEOGENOMICS INC [NEO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
12701 COMMONWEALTH DRIVE
SUITE 9

3. Date of Earliest Transaction
(Month/Day/Year)
07/30/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Vice President

(Street)
FORT MYERS, FL 33913

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---------|---|--|
| | | | Code | V | Amount | (D) | Price | | | |
| Common Stock | 07/30/2018 | | S | | 3,500 | D | \$ 14 | 48,657 | I | Shares owned in IRA, custodian accounts, and trusts for the family of Steven Jones |
| Common Stock | | | | | | | | 190,000 | I | By self as Trustee for Steven and |

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| | | | | | | | | |
|--------------|------------|---|---------|---|----------|-----------|---|---|
| Common Stock | 07/30/2018 | S | 41,100 | D | \$ 14.01 | 2,458,900 | I | Carisa Jones 401k and Trust By Aspen Select Oppurtunity Fund ⁽¹⁾ |
| Common Stock | 07/31/2018 | S | 38,952 | D | \$ 14 | 2,419,948 | I | By Aspen Select Oppurtunity Fund ⁽¹⁾ |
| Common Stock | 08/01/2018 | S | 119,948 | D | \$ 14 | 2,300,000 | I | By Aspen Select Oppurtunity Fund ⁽¹⁾ |
| Common Stock | | | | | | 241,815 | D | |
| Common Stock | | | | | | 50,476 | I | By Jones Network, LP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 11.6 | | | | | 06/01/2019 | 06/01/2023 | Common Stock | 3,017 |
| Stock Option | \$ 4.78 | | | | | 05/04/2016 ⁽²⁾ | 05/04/2020 | Common Stock | 225,000 |

