

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/  
Form 4  
November 04, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

-----  
1. Name and Address of Reporting Person\*

Foster Charles H  
-----  
(Last) (First) (Middle)  
1501 North Hamilton Street  
-----

(Street)

Richmond VA 23230  
-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Universal Corporation UVV  
-----

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
-----

4. Statement for Month/Year  
-----

10/31/02  
-----

5. If Amendment, Date of Original (Month/Year)  
-----

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

-----  
7. Individual or Joint/Group Filing (Check applicable line)

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		Code	V	Amount	(A) or (D)	Price
Common Stock						1
Common Stock						
Common Stock						2
					Total	3

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)

---



---

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
deferred stock units	1 for 1	10/31/02		155.5934128	(3) (3)	common stock 155.59341

---

Explanation of Responses:

(1) Mr. Foster is the sole trustee of a family trust which holds UC stock.

(2) Shares were granted for service as a Director. Transfer of shares is prohibited and the shares may be forfeited to the Company unless certain specified conditions are met.

(3) The deferred stock units were acquired under the UC outside directors' deferred income plan during the month ended 10/31/02. Each deferred stock unit will be settled in cash based upon a fixed date payout election of upon death, disability or termination of service, or earlier pursuant to the terms of the plan.

Charles H. Foster, signature of file  
 Terri L. Marks, (Power of Attorney)

November 1, 2002

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

-----  
\*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Page 2