



Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  | Price     |
|---------------------------------------|---|---|---|--|------------------|-----------|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) |           |
| Common Stock                          | 12/17/01                                | M                                       |   | 13,673   | A                | \$32.0000 |
| Common Stock                          | 12/17/01                                | M                                       |   | 3,271  | A                | \$35.3125 |
| Common Stock                          | 12/17/01                                | M                                       |   | 5,370  | A                | \$35.3750 |
| Common Stock                          | 12/17/01                                | F                                       |   | 20,126   | D                | \$39.9200 |
| Common Stock                          | 12/17/01                                | I                                       |   | 771  | D                | \$36.9200 |
| Common Stock                          | 12/17/01                                | I                                       |   | 233  | D                | \$35.5631 |
| Common Stock                          | 12/17/01                                | I                                       |   | 8  | D                | \$35.6400 |
| Common Stock                          |   |   |   |  |                  |           |
| Common Stock                          |   |   |   |  |                  |           |
| Common Stock                          |   |   |   |  |                  |           |
| total                                 |   |   |   |  |                  |           |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|---|--|
| Options to buy<br>Common stock                         | 12/17/01  | M  |  | 13,673   | 6/15/01 12/2/09   | Common Stock(3)  |
| Options to buy<br>common stock                         | 12/17/01  | M  |  | 3,271  | 12/15/98 12/4/04  | Common Stock(3)  |
| Options to buy<br>common stock                         | 12/17/01  | M  |  | 5,370  | 12/6/97 12/1/04   | Common Stock(3)  |
| Options to buy<br>common stock                         | 12/17/01  | A  | V  | 8,275  | 6/17/02 12/1/04   | Common Stock(3)  |
| Options to buy<br>common stock                         | 12/7/01   | A  | V  | 11,851   | 6/17/02 12/2/09   | Common Stock(3)  |

Explanation of Responses:

- (1) shares held in the stock purchase plan
- (2) Mr. Starkey is the sole Trustee of a family trust which holds UC stock
- (3) options issued under the Executive Stock Plan.

signature of file, filed electronically

January 7, 2002

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\*\*Signature of Reporting Person

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Date

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\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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