

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/  
 Form 4  
 January 05, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 STARKEY, III, JAMES H.  
 1501 NORTH HAMILTON STREET  
 RICHMOND, VA 23230  
 USA
2. Issuer Name and Ticker or Trading Symbol  
 UNIVERSAL CORPORATION  
 UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 12/00
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 VICE PRESIDENT
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | A/D | Price     | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|-----|-----------|--|
| COMMON STOCK         | 12/15/00            | M       | 24,213  | A   | \$24.6875 |  |
| COMMON STOCK         | 12/15/00            | F       | 18,680  | D   | \$32.00   |  |
| COMMON STOCK         | 12/15/00            | I       | 2,590   | D   | \$32.00   | 2,943  |
| COMMON STOCK         |                     |         |   |     |           | 46,107   |
| COMMON STOCK         |                     |         |   |     |           | 12,922   |
| COMMON STOCK         |                     |         |   |     |           | 400  |
|                      |                     |         |   |     |           | -----  |
|                      |                     |         |   |     | TOTAL     | 62,372   |

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------|
| OPTIONS TO BUY COMMON STOCK     | \$24.6875  | 12/15/00            | M                   | 24,213   | D 6/15/09  | COMMON STOCK (3)                             |                |
| OPTIONS TO BUY COMMON STOCK     | \$32.00  | 12/15/00            | A                   | 18,680   | A 6/15/09  | COMMON STOCK (3)                             |                |

Explanation of Responses:

(1) Number of shares held in the Stock Purchase Plan  
 (2) Mr. Starkey is the sole trustee of a family trust which holds Universal Corporation common stock  
 (3) Options issued under the Executive Stock Plan  
 SIGNATURE OF REPORTING PERSON  
 J. H. STARKEY, III, SIGNATURE ON FILE, FILED ELECTRONICALLY  
 DATE  
 JANUARY 5, 2001