

ABERDEEN EMERGING MARKETS SMALLER Co OPPORTUNITIES FUND, INC.

Form 3

April 07, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â CITY OF LONDON                  INVESTMENT GROUP PLC</p> <p>(Last) (First) (Middle)</p> <p>77 GRACECHURCH                  STREET,Â LONDON                  ENGLAND</p> <p>(Street)</p> <p>LONDON,Â X0Â EC3V0AS</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>03/28/2017</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ABERDEEN EMERGING MARKETS SMALLER Co                  OPPORTUNITIES FUND, INC. [ABE]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p>___ Director ___X___ 10% Owner                  ___ Officer ___ Other                  (give title below) (specify below)</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p>___ Form filed by One Reporting Person                  ___X___ Form filed by More than One Reporting Person</p>
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**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$.01 per share <sup>(1)</sup>	291,479 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(2)</sup>	149,487 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(3)</sup>	284,179 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(4)</sup>	289,929 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(5)</sup>	221,327 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(6)</sup>	168,705 <sup>(12)</sup>	I	

			As investment adviser to a Dublin, Ireland-listed open-ended investment company <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(7)</sup>	201,701 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(8)</sup>	287,335 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(9)</sup>	73,865 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(10)</sup>	50,000 <sup>(12)</sup>	I	As investment adviser to a private investment fund <sup>(13)</sup>
Common Stock, par value \$.01 per share <sup>(11)</sup>	1,962,879 <sup>(12)</sup>	I	As investment adviser to unaffiliated third-party segregated accounts <sup>(13)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date				

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS	Â	Â X	Â	Â
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS	Â	Â X	Â	Â

## Signatures

/s/ Barry Olliff, Director - City of London Group PLC

04/07/2017

\_\_Signature of Reporting Person

Date

/s/ Barry Olliff, Director - City of London Investment Management

04/07/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by 14 unaffiliated third-party segregated accounts.
- (12) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.

As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 14 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.