EAST WEST BANCORP INC

Form 4

March 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

Expires:

3235-0287 Number: January 31,

OMB APPROVAL

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * YEN ANDY

2. Issuer Name and Ticker or Trading

Symbol

EAST WEST BANCORP INC

[EWBC]

3. Date of Earliest Transaction

(Month/Day/Year)

03/07/2017

Director 10% Owner

5. Relationship of Reporting Person(s) to

(Check all applicable)

X_ Officer (give title below) **Executive Vice President**

Issuer

Other (specify

EAST WEST BANCORP, INC., 135

(First)

(Middle)

(Zip)

N. LOS ROBLES AVE 7TH FLR

(Street)

(State)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line) Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting Person

PASADENA, CA 91101

1)	Table I - Non-Derivative Sec	curities Acquired, Dispos	sed of, or Beneficially Owned	

						-	•	•	~
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)		on(A) or D	Securities Acquired A) or Disposed of (D) nstr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/07/2017		M	6,784	A	(1)	42,343	D	
Common Stock	03/07/2017		F	2,398	D	\$ 54.87	39,945	D	
Common Stock							493	I	401 (K) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	erivative Expiration Date rities (Month/Day/Year) uired or osed of r. 3, 4,		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Cliff-Vesting Restricted Stock Units	<u>(1)</u>	03/07/2017		M	6,784	(1)	03/07/2017	Common Stock	6,784

Reporting Owners

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

YEN ANDY EAST WEST BANCORP, INC. 135 N. LOS ROBLES AVE 7TH FLR PASADENA, CA 91101

Executive Vice President

Signatures

/s/ Felicia Yu, as 03/08/2017 Attorney-in-fact

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted Stock Units ("RSU") granted on March 7, 2014 fully vest after three years on March 7, 2017. Each RSU represents the right to receive, at settelement, one share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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