

EAST WEST BANCORP INC  
Form 4  
March 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**NG DOMINIC**

2. Issuer Name and Ticker or Trading Symbol  
**EAST WEST BANCORP INC [EWBC]**

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
**135 N. LOS ROBLES AVE. 7TH FLOOR**  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**03/07/2016**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chief Executive Officer**

**PASADENA, CA 91101**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V	Amount				(A) or (D)	Price
Common Stock	03/07/2016		M			49,010	A	\$ 32.07	556,914	D	
Common Stock	03/07/2016		M			40,099	A	\$ 32.07	597,013	D	
Common Stock	03/07/2016		F			39,063	D	\$ 32.07	557,950	D	
Common Stock									6,215	I	401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
Performance-Based Restricted Stock Units	<u>(1)</u>	03/07/2016		M	49,010	<u>(1)</u> 03/07/2016	Common Stock
Time-Based Restricted Stock Units	<u>(2)</u>	03/07/2016		M	40,099	<u>(2)</u> 03/07/2016	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NG DOMINIC 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101	X		Chief Executive Officer	

## Signatures

/s/ Douglas P. Krause, as Attorney-in-fact 03/09/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Performance-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years. Number of vested units depends on meeting pre-established performance criteria. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Performance-Based Restricted Stock Unit, the reporting person received one share of Common Stock.
- (2) Time-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years, vesting is also subject to meeting pre-established earning goals. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each

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vested Time-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

**Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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