

Edgar Filing: DUPEE PAUL R JR - Form 4

DUPEE PAUL R JR  
 Form 4  
 January 10, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Dupee, Jr., Paul R.  
  
 10 Wilton Row  
 London, SW1X 7NR  
 ENGLAND
2. Issuer Name and Ticker or Trading Symbol  
 Maxicare Health Plans, Inc.  
 MAXIQ
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 December 31, 2002
5. If Amendment, Date of Original (Month/Year)  
 January 9, 2003
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Chairman and CEO
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------------------|---|--|
| Common Stock         | 12/31/02            | P                   | 150,000   | A \$0.01 464,630   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>Amount | 6. Date Exercisable and Expiration Date<br>Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities<br>Title and Number of Shares | 8. Percentage of Total Ownership |
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------------------------|
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------------------------|

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|         |  |  |  |  |  |  |       |  |       |  |              |  |         |  |      |
|---------|--|--|--|--|--|--|-------|--|-------|--|--------------|--|---------|--|------|
| Options |  |  |  |  |  |  | 07/01 |  | 01/01 |  | Common Stock |  | 1,000   |  | \$30 |
|         |  |  |  |  |  |  | /99   |  | /09   |  |              |  |         |  |      |
| -----   |  |  |  |  |  |  |       |  |       |  |              |  |         |  |      |
| Options |  |  |  |  |  |  | 9/17  |  | 09/16 |  | Common Stock |  | 30,000  |  | \$22 |
|         |  |  |  |  |  |  | 99-   |  | /09   |  |              |  |         |  |      |
| -----   |  |  |  |  |  |  |       |  |       |  |              |  |         |  |      |
|         |  |  |  |  |  |  | 01/01 |  |       |  |              |  |         |  |      |
|         |  |  |  |  |  |  | /00   |  |       |  |              |  |         |  |      |
| -----   |  |  |  |  |  |  |       |  |       |  |              |  |         |  |      |
| Options |  |  |  |  |  |  | 11/02 |  | 11/02 |  | Common Stock |  | 100,000 |  | \$5. |
|         |  |  |  |  |  |  | /02   |  | /10   |  |              |  |         |  |      |
| -----   |  |  |  |  |  |  |       |  |       |  |              |  |         |  |      |
|         |  |  |  |  |  |  |       |  |       |  |              |  |         |  |      |

Explanation of Responses:  
SIGNATURE OF REPORTING PERSON  
Paul R. Dupee, Jr.  
DATE  
January 10, 2003